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Tero Ylisaukko-oja

Search for Susceptibility Genes in Autism Spectrum Disorders

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Department of Molecular Medicine, National Public Health Institute, Helsinki, Finland and Department of Medical Genetics, University of Helsinki, Finland

Tero Ylisaukko-oja

SEARCH FOR SUSCEPTIBILITY GENES IN AUTISM SPECTRUM DISORDERS

ACADEMIC DISSERTATION

To be presented with the permission of the Medical Faculty, University of Helsinki, for public examination in the small lecture hall of the Haartman Institute, Haartmaninkatu 3, Helsinki, on November 11th, at 12 noon.

Department of Molecular Medicine, National Public Health Institute, Helsinki, Finland and

Department of Medical Genetics, University of Helsinki, Finland

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"Kyllä te vietätte elämää! Korjaatte, puuhaatte ja hypitte aamusta iltaan. Mokoma hosuminen voi olla vaarallista. Johan sitä masentuu kun vain ajatteleekin kaikkia niitä, jotka tekevät työtä ja raatavat, ja mitä hyötyä siitä muka on. Eräs sukulaiseni luki trigonometriaa tuntokarvansa lerpalleen, ja kun hän oli oppinut kaiken, tuli Mörkö ja söi hänet suuhunsa. Joopa joo, Mörön vatsassa hän sitten lojui niin erinomaisen viisaana!"

Tove Jansson, Muumipapan villi nuoruus, 1950

"What a life! No end of changing and building up and pulling down again and jumping about. Such a lot of work may turn out to be really harmful. Oh, I'm dejected just to think of all the people who work and buzz and bumble about, and what it leads to. I had a cousin once who studied trigonometry until his whiskers drooped, and when he had learnt it all a Groke came and ate him up. Yes, so wise he was while lying in the Groke's stomach!"

Tove Jansson, The Exploits of Moominpappa, Described by Himself, 1950

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ABSTRACT

Autism (MIM 209850) is a severe neurodevelopmental disorder characterized by abnormalities in reciprocal social interaction and communication, restricted and stereotyped patterns of interests and activities, and the presence of developmental abnormalities, which are evident by the age of three years. Autism is the most severe form of a broad group of disorders known as pervasive developmental disorders (PDD) or autism spectrum disorders (ASD), which include also milder conditions such as Asperger syndrome (AS), disintegrative disorder, and atypical forms of autism. The population prevalence for autism is 10-20 per 10 000, whereas the total prevalence for all autism spectrum disorders is close to 10-60 per 10 000. Twin and family studies have repeatedly and unequivocally indicated a strong genetic component in ASDs, but the underlying genetic mechanisms are still largely unknown.

To analyze the genetic factors underlying autism, we have carried out two genome-wide scans in well characterized Finnish ASD families. First, we analyzed 38 families having diagnoses of autism, AS and developmental dysphasia, and identified a susceptibility locus at 3q25-27 with a maximum multipoint LOD score of 4.81. Also, other putative linkage findings were observed at 1q21-23 and Xq13. The second genome-wide scan was performed in a sample of 17 extended families with AS. The most solid linkage finding was observed at 3p14-24 with the highest multipoint NPL_{all} score of 3.32. Other suggestive findings were identified at 1q21-23 and 13q31-33. Next, we analyzed the data from genome-wide scans performed in the US and Finnish autism samples combined, in order to reveal shared susceptibility loci for these two samples. The most promising shared locus was identified at 3p24-26 (NPL_{all}=2.20) in the vicinity of the earlier finding in the AS-sample.

Two members of the neuroligin gene family, *NLGN3* and *NLGN4*, have been shown to be mutated in individuals with autism, AS and mental retardation. Two neuroligin genes, *NLGN1* at 3q26 and *NLGN3* at Xq13, are located within the putative susceptibility loci identified in the first genome scan of this study. However, no evidence for functional mutations or significant allelic association was observed at *NLGN1*, *NLGN3* or *NLGN4* in the Finnish sample.

Recently, *DYX1C1* was identified as a candidate for developmental dyslexia in a Finnish study sample. It has been suggested that variants at this gene might confer susceptibility also for other disorders involving language development or acquiring specific competences. Therefore, we wanted to test whether the effect is present also in autism, which is an extreme example of such a disorder. No evidence for association or deviated allelic diversity in the haplotype analyses was observed, and thus, at least a presence of a major effect was excluded.

Taken together, the present study has involved the identification of potential susceptibility loci for ASDs at 1q21-23, 3p14-26, 3q25-27, 13q31-33, and Xq13. As the initial follow-up of the genome-wide scans, the most relevant candidates were analyzed but with no indication of etiological significance. The original articles included in this thesis represent the first step towards identification of susceptibility genes for ASDs in the Finnish population and this data provides a strong foundation for future fine-scale mapping of the identified loci.

Keywords: autism, Asperger syndrome, genetic mapping, linkage analysis, association analysis, neuroligin, oxytocin receptor, dyslexia, dysfasia

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TIIVISTELMÄ

Autismikirjon sairaudet (MIM 209850) ovat vakavia lapsuusiällä alkavia sairauksia, joille on ominaista vastavuoroisen kommunikaation häiriöt, kielen kehityksen ongelmat, ja stereotyyppiset sekä toistuvat käyttäytymismallit. Autismikirjon sairauksiin kuuluvat lapsuusiän autismi, Aspergerin oireyhtymä ja epätyypilliset autismin muodot. Autismin yleisyys väestössä on 10-20 / 10 000, kun taas autismikirjon sairauksien kokonaisesiintyvyys on 10-60 / 10 000. Kaksos- ja perhetutkimukset ovat toistuvasti osoittaneet että autismikirjon sairaudet ovat vahvasti geneettisiä. Tästä huolimatta autismille altistavat geneettiset mekanismit ovat vielä epäselviä.

Tutkimuksen tarkoituksena oli paikantaa autismille altistavia geneettisiä tekijöitä genominlaajuisten kartoitustutkimusten avulla. Ensimmäisessä osatyössä analysoimme 38 hyvin kuvattua suomalaista perhettä, joissa esiintyi autismia, Aspergerin oireyhtymää ja kielenkehityksen viivettä (dysfasia). Lupaavin alttiusgeenialue löytyi kromosomista 3q25-27 (MLS=4.81).Muita mahdollisia alttiusgeenialueita paikansimme kromosomeista 1q21-23 sekä Xq13. Seuraavaksi suoritimme genominlaajuisen kartoituksen 17 laajassa suomalaisessa perheessä, jossa esiintyy Aspergerin oireyhtymää ainoana autismikirjon sairautena. Lupaavin kytkentälöydös oli kromosomissa 3p14-24 (NPL_{all} = 3.32) ja muita mahdollisia alttiusgeenialueita paikansimme kromosomeista 1q21-23 sekä 13q31-33. Kolmannessa osatyössä analysoimme työn I geneettisen informaation yhdessä yhdysvaltalaisen Autism Genetic Resource Exchange (AGRE) aineiston kanssa tarkoituksena etsiä näille aineistoille yhteisiä alttiusgeenialueita. Lupaavin yhteinen alttiusgeenialue löytyi kromosomista 3p24-26 (NPL_{all} = 3.32). Tämä alttiusgeenialue on lähellä toisessa osatyössä paikannettua lupaavaa Asperger-lokusta.

Neuroligin-geeniperheeseen kuuluu viisi keskenään hyvin samankaltaista jäsentä, joista kahden (3 ja 4) on vastikään osoitettu mutatoituneen harvinaisissa tapauksissa autistisilla ja kehitysvammaisilla potilailla. Neuroligin-geeneistä kaksi, *NLGN1* kromosomissa 3q26 ja *NLGN3* kromosomissa Xq13, sijaitsevat ensimmäisessä osatyössä paikannetuilla alttiusgeenialueilla. *NLGN1*, *NLGN3 tai NLGN4* geenien toimintaan vaikuttavia mutaatioita tai alleeliassosiaatiota näillä alueilla ei kuitenkaan havaittu suomalaisissa autismiperheissä.

Suomalaisessa aineistossa on paikannettu lukihäiriölle mahdollisesti altistavia muutoksia kromosomissa 15 sijaitsevassa *DYX1C1*-geenissä. Kirjallisuudessa on esitetty, että tämän geenin muutokset saattavat altistaa myös laajemmalle kirjolle kehityksellisiä häiriöitä, etenkin kielenkehitykseen liittyen. Tutkimme tämän geenin alleeliassosiaatiota autismiaineistossamme, mutta emme löytäneet näyttöä *DYX1C1*-geenin osuudesta autismin syntyyn.

Tässä tutkimuksessa paikansimme mahdollisia autismikirjon sairauksien alttiusgeenialueita kromosomeista 1q21-23, 3p14-26, 3q25-27, 13q31-33 ja Xq13. Alustavana jatkotutkimuksena analysoimme selkeitä alttiusgeenikandidaatteja näiltä alueilta, mutta emme havainneet näyttöä näiden geenien osuudesta autismin syntyyn. Tässä tutkimuksessa esitetyt osatyöt ovat ensimmäinen askel kohti autismin alttiusgeenien paikantamista suomalaisessa väestössä ja ne luovat vahvan perustan kyseisten alueiden tihennyskartoitustutkimuksille.

Avainsanat: autismi, Aspergerin oireyhtymä, geenikartoitus, kytkentäanalyysi, assosiaatioanalyysi, neuroligin, oksitosiinireseptori, lukihäiriö, dysfasia

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LIST OF ORIGINAL PUBLICATIONS

This thesis is based on the following original articles, referred to in the text by roman numerals.

- I. Auranen M, Vanhala R, Varilo T, Ayers K, Kempas E, Ylisaukko-oja T, Sinsheimer JS, Peltonen L, Järvelä I (2002) A genomewide screen for autism-spectrum disorders: evidence for a major susceptibility locus on chromosome 3q25-27. American Journal of Human Genetics, 71:777-790.
- II. Ylisaukko-oja T, Nieminen-von Wendt T, Kempas E, Sarenius S, Varilo T, von Wendt L, Peltonen L, Järvelä I (2004) Genome-wide scan for loci of Asperger syndrome. *Molecular Psychiatry*, 9:161-168.
- III. Ylisaukko-oja T, Alarcón M, Cantor RM, Auranen M, Vanhala R, Kempas E, von Wendt L, Järvelä I, Geschwind DH, Peltonen L. Search for autism loci by combined data analysis of AGRE and Finnish families. *Annals of Neurology*, in press.
- IV. Ylisaukko-oja T, Rehnström K, Auranen M, Vanhala R, Alen R, Kempas E, Ellonen P, Turunen JA, Makkonen I, Riikonen R, Nieminen-von Wendt T, von Wendt L, Peltonen L, Järvelä I. Analysis of four neuroligin genes as candidates for autism. *European Journal of Human Genetics*, in press.
- V. Ylisaukko-oja T, Peyrard-Janvid M, Lindgren CM, Rehnström K, Vanhala R, Peltonen L, Järvelä I, Kere J (2005) Family-based association study of *DYX1C1* variants in autism. *European Journal of Human Genetics*, 13:127-130.

Publication I has previously appeared in a thesis by Mari Auranen (2002) and publication II in a thesis by Taina Nieminen-von Wendt (2004). Some previously unpublished data are also presented.

ABBREVIATIONS

α proportion of linked families / point-wise significance level

ADHD attention deficit hyperactivity disorder

ADI-R Autism Diagnostic Interview - Revised

AGRE Autism Genetic Resource Exchange

AS Asperger syndrome

ASD autism spectrum disorder

ASP affected sib-pair

AUTS1 autism susceptibility locus 1

bp base pair

CD/CV common disease / common variant

cM centiMorgan

CNS central nervous system

DNA deoxyribonucleic acid

DSM Diagnostic and Statistical Manual of Mental Disorders

DZ dizygotic

EST expressed-sequence tag

FBAT family-based association test
GSMA Genome Search Meta-Analysis

h² heritability

HGP Human Genome Project
HRR haplotype-relative risk
htSNP haplotype-tagging SNP
IBD identical by decent

ICD International Classification of Diseases

IMGSAC International Molecular Genetic Study of Autism Consortium

kb kilobase

 λ_{r} familial recurrence risk

LC liability class

LD linkage disequilibrium

LOD logarithm of odds

Mb megabase

MIM Mendelian Inheritance in Man

miRNA micro ribonucleic acid
MLS maximum LOD score
MR mental retardation

MZ monozygotic

NPL non-parametric linkage

NQA not quite autism

PCR polymerase chain reaction

PDD pervasive developmental disorder

PDD-NOS pervasive developmental disorder-not otherwise specified

PSD phrase speech delay
OTL quantitative trait locus

RNA ribonucleic acid
RNAi RNA interference

SNP single nucleotide polymorphism

SSRI selective serotonin reuptake-inhibitor

STR short tandem repeat

TDT transmission disequlibrium test

 θ recombination fraction UTR untranslated region

VNTR variable number of tandem repeat

Z_{max} maximumLOD score

1. INTRODUCTION

The genetic mapping approach offers tools for the identification of the genetic architecture of a disease, and thus, for understanding the biological mechanisms underlying the disease phenotype. Positional cloning has successfully been applied to detect causative variants for rare Mendelian disorders, which result from mutations of large functional effect. Inspired by this success, huge efforts are now directed to the genetic mapping of common phenotypes, such as asthma and allergy, cancer, cardiovascular disorders and mental disorders, which constitute a significant proportion of the public health burden. Common diseases are caused by a varying number of genetic, cultural, and environmental risk factors, each contributing a minor effect and probably having multiple interactions with each other. Identification of genetic variants for such phenotypes has turned out to be more difficult than initially thought, and it is now evident that revealing the genetic basis of these diseases requires substantial efforts in terms of sample collection and phenotyping as well as technological and statistical development.

Autism spectrum disorders, characterized by impairments in communication and social interaction as well as the presence of repetitive and restricted activities, are among the most strongly genetic neuropsychiatric disorders. Many rare causative genetic factors are known for autism, including chromosomal abnormalities, fragile X syndrome, and mutations in neuroligin genes. It is clear that functional characterisation of these pathways will provide novel information about the pathophysiology underlying autism. However, more prevalent causes are still unknown. Several genomewide linkage scans have been performed in order to reveal those chromosomal loci that might contain variants predisposing to autism spectrum disorders. These studies have resulted in some overlapping findings but, as in most other complex disorders, poor reproducibility has been the predominant result. Similarly, most of the candidate gene studies have resulted in conflicting results. However, this is expected given that the genetic background is likely to be a mixture of rare single-gene mutations and common variants contributing a

minor effect. It is therefore likely that most of the previous efforts have been underpowered to detect the predisposing genetic effects. Novel technological, statistical, and study design issues have been proposed to overcome these problems, as will be discussed in the following chapters.

2. REVIEW OF THE LITERATURE

2.1 Autism Spectrum Disorders

2.1.1 Historical background

In 1943, Leo Kanner described 11 children, who had significant impairments in reciprocal social interactions and communication skills. Other clinical features included delayed early language development as well as repetitive and ritualistic interests and activities. This condition was named "infantile autism" (Kanner 1943). Asperger syndrome was first described in 1944 by Hans Asperger, who reported a group of boys with "autistic psychopathy". The clinical features of these boys included difficulties in social interaction and communication as well as circumscribed and restricted patterns of interest (Asperger 1944; Asperger 1991). Asperger was unaware of the previous work by Kanner (1943), in which these features were also included. The main differences between these early reports were that the patients described by Asperger were of higher intelligence, and the apparent onset of the condition seemed to be somewhat later than in autism. Furthermore, language skills were acquired on time in Asperger's patients and the motor deficits were more common. After the English review by Wing (Wing 1981), the combination of problems described by Asperger is generally referred to as Asperger syndrome (AS). It is worth noting that highly similar cases to those described by Asperger had been described already in 1926 by Eva Ssucharewa (translated in Ssucharewa and Wolff 1996). The patients in this early report were said to have a "schizoid personality disorder" and they were practically indistinguishable to those described later by Hans Asperger.

Nowadays, infantile autism is considered to be the prototype of disorders in a group called pervasive developmental disorders (PDD), which also include Asperger syndrome, childhood disintegrative disorder, Rett syndrome and pervasive developmental disorder-not otherwise specified (PDD-NOS) (World Health Organization 1993; American Psychiatric Association 1994). Autism, AS and PDD-NOS are also commonly referred to as autism spectrum disorders (ASD). While the criteria for

autism have been relatively well established for a long time, several alternative diagnostic concepts have been used to diagnose AS (Wing 1981; Tantam 1988; Gillberg and Gillberg 1989; Szatmari et al. 1989; World Health Organization 1993; American Psychiatric Association 1994). Therefore, the research findings concerning AS are sometimes difficult to interpret.

2.1.2 Diagnostic criteria and co-existing phenotypes

The diagnoses of autism and AS are currently outlined in the International Classification of Diseases (ICD-10; World Health Organization 1993) and in the 4th edition of Diagnostic and Statistical Manual of Mental Disorders (DSM-IV; American Psychiatric Association 1994). The diagnosis of autism is based on three core symptoms, which include (i) qualitative impairment in reciprocal social interaction, (ii) qualitative impairment in verbal and non-verbal communication and imaginative activity, and (iii) a markedly restricted repertoire of interests and activities (Table 1). These symptoms are present before the age of 3 years. The criteria for AS are the same as those for autism with three main exceptions: (i) the communication and imagination impairment criteria for autism are not listed for AS, (ii) individuals with AS do not have a clinically significant general delay in language and (iii) individuals with AS do not have a clinically significant delay in cognitive development (Table 2)(Nieminen-Von Wendt 2004). Furthermore, unlike autism, AS is usually recognized after the age of 3 years, or the problems in communication and social interactions before that age must not be of the type seen in autism (Volkmar and Klin 2000).

Numerous overlapping conditions commonly exist in patients with autism or AS. Some 75-80% of individuals with autism have mental retardation (MR) and 20-30% have epilepsy (Bailey et al. 1996; Gillberg & Billstedt 2000). Both autism and AS are commonly associated with sleeping problems (Gillberg and Billstedt 2000; Tani et al. 2003) and altered sensibility (Gillberg and Billstedt 2000; Nieminen-Von Wendt 2004). Motor clumsiness and prosopagnosia (face recognition difficulties) are commonly

observed in individuals with AS (Gillberg and Billstedt 2000; Smith 2000; Nieminen-Von Wendt 2004). In addition, several neuropsyciatric disorders, including attention deficit hyperactivity disorder (ADHD), tics and Tourette syndrome, depression, eating disorders, obsessive-compulsive behaviour, and schizophrenia spectrum disorders, may co-exist with the ASDs, especially with AS (Gillberg et al. 1996; Ghaziuddin et al. 1998; Gillberg and Billstedt 2000; Ringman and Jankovic 2000; Wolff 2000; Nieminen-Von Wendt 2004; Nieminen-von Wendt et al. 2005).

Table 1. Diagnostic criteria for autism according to the ICD-10 classification (World Health Organization 1993).

A. Presence of abnormal or impaired development before the age of three years, in at least one out of the following areas:

- 1. receptive or expressive language as used in social communication
- 2. the development of selective social attachments or of reciprocal social interaction
- 3. functional or symbolic play

B. Qualitative abnormalities in reciprocal social interaction, manifest in at least one of the following areas:

- failure adequately to use eye-to-eye gaze, facial expression, body posture and gesture to regulate social interaction.
- failure to develop (in a manner appropriate to mental age, and despite ample opportunities) peer relationships that involve mutual sharing of interests, activities and emotions.
- A lack of socio-emotional reciprocity as shown by an impaired or deviant response to other people's emotions; or lack of modulation of behaviour according to social context, or a weak integration of social, emotional and communicative behaviours.

C. Qualitative abnormalities on communication, manifest in at least two of the following areas:

- a delay in, or total lack of development of spoken language that is not accompanied by an attempt to compensate through the use of gesture or mime as alternative modes of communication (often preceded by a lack of communicative babbling)
- relative failure to initiate or sustain conversational interchange (at whatever level of language skills are present) in which there is reciprocal to and from responsiveness to communications of the other person
- 3. Stereotyped and repetitive language or idiosyncratic use of words or phrases
- 4. abnormalities in pitch, stress, rate, rhythm and intonation of speech

D. Restricted repetitive, and stereotyped patterns of behaviour, interests and activities, manifest in at least two of the following areas:

- an encompassing preoccupation with one or more stereotyped and restricted patterns of
 interest that are abnormal in content or focus; or one or more interests that are abnormal in
 their intensity and circumscribed nature although not abnormal in their content or focus.
- 2. apparently compulsive adherence to specific, non-functional, routines or rituals
- stereotyped and repetitive motor mannerisms that involve either hand or finger flapping or twisting, or complex whole body movements
- preoccupations with part-objects or non-functional elements of play materials (such as their odour, the feel of their surface, or the noise or vibration that they generate)
- 5. distress over changes in small non-functional, details of environment
- E. The clinical picture is not attributable to other varieties of pervasive developmental disorder; specific developmental disorder of receptive language (F80.2) with secondary socio-emotional problems; reactive attachment disorder (F94.1) or disinhibited attachment disorder (F94.2); mental retardation (F70-72) with some associated emotional or behavioural disorder; schizophrenia (F20) of unusually early onset; and Rett's syndrome (F84.2).

Table 2. Diagnostic criteria for Asperger syndrome according to the ICD-10 classification (World Health Organization 1993).

A. A lack of any clinically significant general delay in spoken or receptive language or cognitive development. Diagnosis requires that single words should have developed by 2 years of age or earlier and that communicative phrases be used by 3 years of age or earlier. Self-help skills, adaptive behaviour, and curiosity about the environment during the first 3 years should be at a level consistent with normal intellectual development. However, motor milestones may be somewhat delayed and motor clumsiness is usual (although not a necessary diagnostic feature). Isolated special skills, often related to abnormal preoccupations, are common, but are not required for the diagnosis.

- B. Qualitative abnormalities in reciprocal social interaction (criteria as for autism). Diagnosis requires demonstrable abnormalities in at least two out of the following four areas:
 - Failure adequately to use eye-to-eye gaze, facial expression, body posture and gesture to regulate social interaction.
 - 2. Failure to develop (in a manner appropriate to mental age, and despite ample opportunities) peer relationships that involve a mutual sharing of interests, activities and emotions.
 - Lack of socio-emotional reciprocity as shown by an impaired or deviant response to other people's emotions, and/or lack of modulation of behaviour according to social context, and/or a weak integration of social, emotional and communicative behaviours
 - Lack of spontaneous seeking to share enjoyment, interests or achievements with people (e.g. a lack of showing, bringing or pointing out to other people objects of interests to the individual)
- C. An unusually intense circumscribed interests, or restricted, repetitive, and stereotyped patterns of behaviour, interests, and activities (criteria as for autism, however it would be less usual for these to include either motor mannerisms or preoccupations with part-objects or non-functional elements of play materials). Diagnosis requires demonstrable abnormalities in at least two out of the following four areas:
 - An encompassing preoccupation with one or more stereotyped and restricted patterns of interests that is abnormal in context or focus; or one or more interests that are abnormal in their intensity and circumscribed nature though not in their content or focus.
 - 2. Apparently compulsive adherence to specific, non-functional routines or rituals.
 - Stereotyped and repetitive motor mannerisms that involve either hand/finger flapping or twisting, or complex whole body movements.
 - 4. Preoccupation with part-objects or non-functional elements of play materials.
- D. The disorder is not attributable to other varieties of pervasive developmental disorder; schizotypal disorder (F21); simple schizophrenia (F20.6); reactive and disinhibited attachment disorders of childhood (F94.1 and .2); obsessional personality disorder (F60.5); obsessive-compulsive disorder (F42).

2.1.3 Prevalence of Autism Spectrum Disorders

The prevalence for ASDs has traditionally been reported as 4-6 / 10~000 for strictly defined autism and 20 / 10~000 for the broad spectrum of ASDs (Charman 2002). It seems likely, however, that the current true prevalence for ASDs is considerably higher

than previously thought. For example, Chakrabarti and Fombonne (2001) reported a prevalence of 16.8 / 10 000 (95% CI, 11.0-24.6) for autism and a total prevalence of 62.6 / 10 000 (95% CI, 50.8-76.3) for all PDDs in an English study population of 15 500 children. An US study by Yeargin-Allsopp et al. (2003) reported a prevalence of 34 / 10 000 (95% CI, 32-36) for ASDs in a study population of 289 456 children in the Atlanta metropolitan area. Also, other recent epidemiological studies for ASDs, including one conducted in the Northern Finnish population (Kielinen et al. 2000), have yielded similar prevalence estimates (Charman 2002). The apparent increase in prevalence most probably arise from the increased recognition of ASDs, the broadening of the diagnostic concept over time, and methodological differences, e.g. novel screening methodology, which may powerfully affect the prevalence estimates. An alternative, but far more unlikely, explanation for the increased prevalence of ASDs is the recent emergence of some powerful but yet unidentified environmental factors (Chakrabarti and Fombonne 2001; Charman 2002; Yeargin-Allsopp et al. 2003). A highly consistent finding in the epidemiological studies is that there is a clear excess of males in both autism and AS with the male-female ratio being around three to one (Ehlers and Gillberg 1993; Chakrabarti and Fombonne 2001; Charman 2002; Yeargin-Allsopp et al. 2003).

So far, only one study has been conducted, in which the prevalence of AS has been investigated exclusively without taking other ASDs into account. A prevalence rate of 28.5 / 10 000 (95% CI, 0.6-56.5) was reported with ICD-10 criteria in a small study population of 1519 Swedish children. A slightly higher prevalence estimate of 36 / 10 000 was obtained when the criteria of Gillberg and Gillberg (1989) were used (Ehlers and Gillberg 1993). The prevalence estimates for AS in those studies that have focused on ASDs in general have ranged significantly from 0.3 to 48.4 / 10 000, indicating major diagnostic and methodological differences across the studies. Many of these studies have, for example, focused on such young age groups, that AS is usually not recognized. In addition, the diagnosis of AS is not well established in many countries potentially leading to too low prevalence estimates. It has been suggested that a conservative

estimate might be around 2 / 10 000, but it is probably fair to conclude that there are currently no reliable prevalence estimates for AS (Fombonne and Tidmarsh 2003).

2.2 Genetic mapping of complex diseases

Genetic mapping studies aim to detect correlation between the genotypes of marker loci and the phenotype of interest and to identify those genomic regions, which include the genetic variant(s) influencing the trait of interest. Ultimately, the goal is to be able to identify the specific predisposing genetic variant(s) among the ~3x10⁹ nucleotides in the human genome. Conventional genetic mapping studies start by collecting family material of a sufficient size for linkage analysis. For this purpose, DNA from families with two or more affected individuals is needed. These samples are analysed in a genome-wide scan, which employs a genome-wide set of polymorphic genetic markers covering the entire human genome usually at 4-10 centiMorgan (cM) intervals (400-1000 genetic markers). Genetic mapping utilises a phenomenon called linkage, which means the tendency of two closely spaced loci in the genome to be inherited together rather than independently. In linkage analysis, the chromosomal localisation of a disease gene is statistically determined by comparing the inheritance of marker alleles with the inheritance of the disease within families. The obvious advantage of this approach is that it is based solely on the knowledge that the phenotype is inherited and no prior knowledge is needed about the disease pathogenesis. Therefore, identification of disease mutations is usually the primary route towards understanding the biological mechanisms underlying the disease phenotype (Botstein and Risch 2003).

Once a disease gene has been assigned to a certain chromosomal locus, fine-scale mapping by analysing more families and a denser set of genetic markers is usually performed. The region harbouring the disease-causing gene can be restricted by recombinations within the families (linkage) or, in the presence of linkage disequilibrium (LD), by the ancient recombinations (see, 2.2.6). In some cases, chromosomal abnormalities may provide a shortcut for disease gene identification (2.3.3). As a result

of the Human Genome Project (HGP) and the competitive genome project by Celera Genomics, the identification of human disease genes has changed dramatically (Lander et al. 2001; Venter et al. 2001). Bioinformatic approaches rather than laborious positional cloning process can be directly used to identify known genes, expressed-sequence tags (EST), or predicted genes from the region of interest. In addition, the availability of the complete sequences of several organisms enables comparative genomic approaches to identify new genes, regulatory elements, or other functionally important regions of the genome (Miller et al. 2004). The positional candidates are analysed in the patient samples in order to identify the disease-causing variant. Several methods are used to test the validity of identified variants as disease-causing mutations. These include e.g. testing association with the phenotype, comparative genomic approaches, *in silico* modelling of the protein structure and function, functional analyses *in vitro* and, ultimately, functional analyses in model organisms (Figure 1).

The most success in genetic mapping has been experienced in simple and rare Mendelian diseases (Botstein and Risch 2003). Mendelian diseases have clearly identifiable inheritance patterns, meaning that the disease must result from a single mutation of a large functional effect. By contrast, the success in the identification of genetic variants responsible for common complex phenotypes has not been impressive so far (Altmuller et al. 2001). Complex traits are much less clear in their inheritance patterns because these phenotypes result from the combined effects of many genetic variants, environmental factors and cultural factors (Risch 2000; Weiss and Terwilliger 2000; Glatt and Freimer 2002). Most problems encountered in disease mapping of complex diseases are caused by a lack of one-to-one correlation between genotype and the diagnostic disease phenotype (Figure 2). At present, several of the mapped complex disease genes account for an uncommon subset of generally more common disorders (Risch 2000).

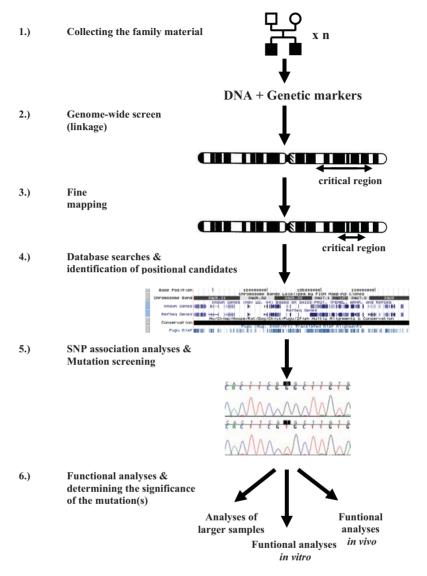


Figure 1. Genetic mapping – **an overview.** The gene mapping effort starts with a genomewide scan (1-2) and the positive regions are followed up by using more samples and a denser map of markers (3). Positional candidates are identified from the databases (4) and the genetic variants potentially conferring susceptibility are identified by SNP association and mutation analyses (5). The validity of identified variants is tested in large population samples and by functional analyses (6).

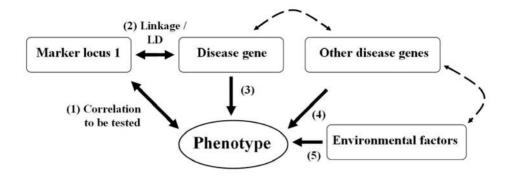


Figure 2. A simplified model of the etiological factors predisposing to complex diseases. In genetic mapping studies, the correlation between marker locus genotype and disease phenotype (1) is tested. Therefore, its success depends on both a correlation between the marker locus and the disease gene (linkage, 2) and also on a correlation between the disease gene and the phenotype (3). In Mendelian disorders, the correlation between the trait phenotype and disease gene (3) is strong, and the success depends primarily on how closely the tested marker is correlated with the disease gene. The existence of other predisposing factors, such as other susceptibility genes (4) and environmental and cultural factors (5), reduce the correlation between the disease gene and the phenotype (3) and, thus, the tested correlation (1). This is the situation usually observed in complex phenotypes. Modified from Weiss and Terwilliger (2000).

2.2.1 Determining the genetic component in complex disorders

Many common disorders are caused by a varying number of susceptibility genes, environmental factors, or both combined. Only individuals, whose liability exceeds a certain threshold, manifest the disease (Figure 3). Because the affected individuals' relatives have a higher risk for predisposing factors than the general population, they also have, on average, a higher liability for the disease (Farrer and Cupples 1998).

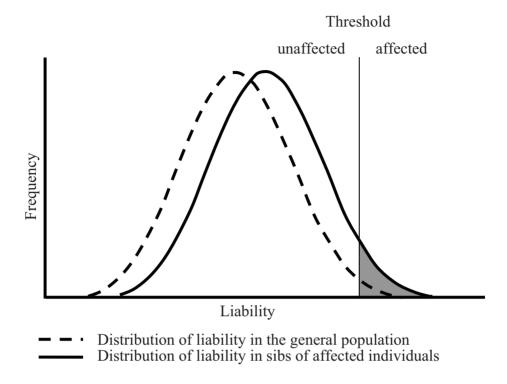


Figure 3. The multifactorial threshold model. The predisposing factors for the disease are normally distributed in the population. The individuals whose liability exceeds the threshold manifest the disease. The sibs of affected individuals have a higher average liability than the population mean and a greater proportion of them have liabilities exceeding the threshold (modified from Strachan and Read 2004).

The determination of a genetic component is a crucial step preceding genetic mapping studies because their success is highly dependent on the correlation between a genotype and a disease phenotype (Figure 2). The first step towards identification of the genetic component is the determination of familial aggregation. When a disease has genetic aetiology, the affected probands' first-degree relatives have an increased risk for the disease compared with the risk in more distant relatives or in the general population. Therefore, the degree of familial clustering can be measured as a familial recurrence

risk, λ_r , which is the ratio of the risk for the affected probands' relatives versus the disease prevalence in population ($\lambda_r = k_r / k$, where λ_r is familial recurrence risk, k_r is the risk of the affected proband's relatives, and k is the prevalence in general population; Risch 1990). The most frequently used familial recurrence risk is sibling risk, λ_s , which is the recurrence risk in the probands' siblings versus the risk in the general population.

Familial aggregation of a trait is not a sufficient proof for genetic aetiology and more sophisticated methods have been developed to prove a genetic basis. Twin and adoption studies have been specially suited for diseases, where making a distinction between genetic and environmental factors is difficult. A classical twin study compares the concordance of monozygotic (MZ) and dizygotic (DZ) twins for a trait indicating the relative importance of genetic and environmental effects. The difference between MZ and DZ concordance indicates a strong genetic effect whereas equal concordance between MZ and DZ twins or low MZ concordance suggest strong environmental effects (Allen et al. 1967). Twin studies can be used to determine another important measure, heritability (h^2), which is defined as the proportion of the total variance of the phenotype that is genetic ($h^2 = V_G/V_P$, where V_G is genetic variance and V_P is overall variance of the phenotype) (Strachan and Read 2004). If r_{MZ} is a correlation in MZ twins and r_{DZ} is a correlation in DZ twins, the heritability can be defined as $h^2 = 2(r_{MZ} - r_{DZ})$ (Boomsma et al. 2002).

Segregation analyses are used for determining whether a major genetic component is involved in the aetiology of a trait. A variety of both genetic and non-genetic models are tested, and the best model fitting the family data is estimated by likelihood ratio tests (Farrer and Cupples 1998; Strachan and Read 2004).

2.2.2 Genetic markers and maps

Currently, the most common markers for genome scanning purposes are microsatellites (short tandem repeats, STR), which are di-, tri-, or tetranucleotide repeats flanked by

unique sequences on both sides (Litt and Luty 1989; Weber and May 1989). Utilisation single nucleotide polymorphisms (SNP) as a genetic mapping tool has gained much interest recently. SNPs are most densely distributed variations in the human genome occurring approximately once in every 300 bp (minor allele frequency >1%), which make them an optimal choice for fine-scale mapping (Kruglyak and Nickerson 2001). As a result from HGP, 1.4 million SNPs in the human genome were identified, but since then this number has been multiplied to nearly 9 million (Sachidanandam et al. 2001; The International HapMap Consortium 2003; Hirschhorn and Daly 2005). The mutation rates of SNPs are much lower than those of microsatellites, which makes them more optimal for association analyses where co-segregation of a marker allele and disease is monitored for many generations (Kruglyak 1997). Yet another advantage is that several highly automated high-throughput methods for SNP genotyping have been developed (Syvänen 2005).

The distances and marker ordering on genetic maps are based on meiotic recombination (θ) , whereas physical maps quantify the distances in number of base pairs (bp). Most of the currently available genetic maps are based solely on microsatellites (NIH/CEPH Collaborative Mapping Group 1992; Sheffield et al. 1995; Broman et al. 1998), but the most recently published genetic map has incorporated also SNP markers (Kong et al. 2002). The primary unit of distance along the genetic maps is centiMorgan (cM), which is equivalent to approximately 1% probability for recombination between two loci. As a rule of thumb, the equivalence between genetic and physical maps can be defined as one cM equalling one megabase (Mb)(Speer 1998). In reality, the recombination rate varies greatly along each chromosome from 0 to 9 cM per Mb (Yu et al. 2001).

The construction of a novel type of genetic map is currently underway. This so called HapMap involves characterization of haplotype structure and haplotype tagging SNPs of entire genomes in different populations from Africa, Asia and Europe (The International HapMap Consortium 2003). The rationale behind this effort is discussed more closely in 2.2.7.

2.2.3 Parametric linkage methods

The aim of linkage analysis is to reveal those chromosomal loci, which harbour genetic variant(s) predisposing to a certain phenotype. Parametric linkage analysis (also referred to as *model-based* analysis) follows co-segregation of two genetic factors, the marker and the trait, at specific loci in pedigrees using the frequency of meiotic recombination as an estimate of genetic distance. The recombination fraction ranges from $\theta = 0$ for loci right next to each other through $\theta = \frac{1}{2}$ for loci far apart or on different chromosomes. Two loci are said to be genetically linked when $\theta < \frac{1}{2}$, in other words the two loci do not segregate independently. The object of linkage analysis is to test whether an observed deviation from 50% recombination between two loci is statistically significant (Terwilliger and Ott 1994; Ott 1999). The statistical measure for linkage analysis is the logarithm of odds (LOD) score (Morton 1955):

$$Z(\theta) = \log\left(\frac{L(\theta)}{L(0.5)}\right)$$
, where

 $Z(\theta) = LOD score$

 $L(\theta) = Likelihood function with given \theta$

L (0.5) = Likelihood function when $\theta = 0.5$

The likelihood function of the parametric linkage analysis requires specification of the disease parameters. These parameters include recombination fraction, marker allele-frequencies, penetrance and phenocopy rate (probability of disease when 2, 1, or 0 disease alleles are present), and the disease allele frequency. When complex diseases are considered, the true values of these parameters are unknown. The incorrect specification of the mode of inheritance may lead to loss of power and, consequently, type II errors (false negatives). Based on simulations, however, it has been proposed that parametric linkage analysis with both the recessive and dominant models is a robust method for detecting linkage in complex disorders, despite the fact that the model is not entirely correct (Greenberg et al. 1998; Xu et al. 1998; Abreu et al. 1999; Durner et al. 1999).

However, the number of statistical models tested should be modest, because a large number of tests increases the probability for type I errors (false-positives). Parametric LOD score analyses commonly also involve several analytical modifications when they are applied in the context of complex diseases including tests of heterogeneity, affected-only analyses, and utilisation of liability classes (Xu et al. 1998).

2.2.4 Non-parametric linkage methods

Non-parametric methods (also referred to as *model-free* methods) are based on the allelesharing of affected related individuals. The simplest study design involves a large sample of affected sib-pairs (ASP). ASP methods observe how frequently two affected offspring share copies of the same parental alleles, i.e. alleles that are shared identical by decent (IBD). In the absence of linkage each affected sib-pair is expected to share 0, 1, and 2 alleles IBD with respective probabilities of 0.25, 0.50 and 0.25. If the marker locus is linked to a disease locus, ASPs will share marker alleles more often than expected by chance. The deviation from the expected sharing is tested statistically by using e.g. χ^2 or mean tests (Ott 1999). Currently, the most widely used non-parametric method is NPLstatistics implemented in the Genehunter-package, which overcomes several of the weaknesses evident in the earlier model-free methods. Like other non-parametric methods, NPL-statistics measures allele sharing among affected relatives within a pedigree. The main advantage is that NPL-statistics, specifically NPL_{all}-statistics, can consider all affected relatives simultaneously rather than as a combination of all possible comparisons of pairs. Another advantage of Genehunter is that it is inherently multipoint method enabling the use of a large number of markers in one analysis (Kruglyak et al. 1996). The main rationale for the wide use of non-parametric methods in the context of complex diseases is that there is no need for defining the mode of inheritance. Generally, NPL statistics tends to give overly conservative estimates of linkage when there is limited information available, but this problem is at least partly overcome by the multipoint approach (Kruglyak et al. 1996; Davis and Weeks 1997).

2.2.5 Determining the statistical significance in linkage analysis

The point-wise significance level refers to a single test of the hypothesis of no linkage. This involves comparing the observed deviation at a specific locus with the probability that such a deviation is encountered by chance. The genome-wide significance level, by contrast, is the probability that one would encounter a deviation by change somewhere in a whole-genome scan (Lander and Kruglyak 1995). The traditional threshold for significance in two-point analyses of Mendelian disorders has been a LOD score of 3.0 (Ott 1999). This value corresponds to 1000:1 odds for linkage, which means that the observed data is 1000-fold more likely to arise under the alternative hypothesis of linkage than under the null hypothesis of no linkage. It has been shown elsewhere, that the LOD score of 3.0 corresponds to a point-wise p-value of 0.0001 (Xu et al. 1998; Ott 1999). Assuming that the genome-wide scan includes 400 markers and if these were treated as independent tests, the point-wise significance level of p = 0.0001 (LOD = 3.0) would correspond to a genome-wide significance level of $\alpha^* = 1 - (1 - 0.0001)^{400} = 0.039$ (Bonferroni correction).

However, the tests in a genome-wide scan are not independent, but the tested markers are correlated with the nearby markers. According to Lander and Kruglyak (1995), the genome-wide significance level can be defined as follows:

 $\alpha^* = 1 - e^{-(C+2GX)\alpha}$, where

 α^* = genome-wide significance level

 α = point-wise significance level

C = number of chromosomes

G =the size of the genome in Morgans (M)

 $X = 4.61 \times LOD \text{ score}$

If a genome-wide significance level of 0.05 is desired, the point-wise significance level should be $\alpha = 5 \times 10^{-5}$, which corresponds to a LOD score of 3.3 (Lander and Kruglyak

1995). According to standards proposed by Lander and Kruglyak (1995) this is considered as significant evidence for linkage in general pedigrees. Two additional thresholds were suggested: a point-wise p-value of 1.7 x 10⁻³ corresponding to a LOD score of 1.9 for suggestive linkage, and a point-wise p-value of 0.05 corresponding to a LOD score of 0.59 for nominal evidence for linkage. For a sib-pair study, corresponding thresholds for nominal, suggestive, and significant linkage were suggested as 2.2, 3.6, and 5.4 (Lander and Kruglyak 1995). It is of interest that the approach by Lander & Kruglyak (1995) to determine significance levels has aroused criticism because they assume an infinitely dense set of markers and full marker information content, which is not the case in practice (Sawcer et al. 1997).

Permutation testing is highly recommended when evaluating genome-wide significance levels (Lander and Kruglyak 1995; Sawcer et al. 1997). In this approach, a large number of genome-wide scans are simulated under the null hypothesis of no linkage anywhere in the genome. The pedigree structures, marker allele frequencies and intermarker distances are derived from the actual study. Genome-wide significance level is determined by testing how many times the empirically observed LOD score is exceeded by change in a large number of genome-wide scans. In permutation testing, a larger number of iterations result in a more reliable estimate of genome-wide significance (Sawcer et al. 1997).

It is generally accepted that the replication of the initial significant linkage claim in an independent data set is required for the confirmed linkage (Lander and Kruglyak 1995). This has turned out to be a difficult task when dealing with complex diseases (Altmuller et al. 2001). Ascertainment protocols are used to increase the power of mapping, which means that the sample ascertained on a given phenotype is not representative of the population as a whole but, rather, some of the predisposing loci are likely to be overrepresented in the study sample. In a genome-wide scan, LOD scores are maximised in the ascertained sample over several parameters and over numerous point-wise tests throughout the genome. Therefore, genome-wide studies necessarily overestimate the effect size of any locus identified, which leads to the conclusion that the power needed

for replication will presumably be underestimated. Likewise, it is unlikely that the same subset of predisposing factors would have enriched in the replication sample and, thus, significantly larger sample size is needed detect this signal in an independent replication sample than that used in the original study (Göring et al. 2001). In addition, many simulation studies have shown that chance variation in location estimate of genetic linkage studies is substantial. The displacement decreases as the sample size and/or marker information content increases. Such inaccuracy clearly has consequences on replication attempts. It also makes it difficult to estimate the true location of the predisposing variant and to determine whether the two linkage peaks on the same chromosome from separate study samples represent the same genetic variant (Hovatta et al. 1998; Roberts et al. 1999). Also methodological differences across the studies may affect the variability of the results. The factors potentially improving the probability of replication include increased sample size, uniform study designs and focus on samples drawn from only one ethnic group (Altmuller et al. 2001; Göring et al. 2001). Based on the above-mentioned provisions, it is also clear that linkage cannot be reliably disproved by failure to replicate the original finding in other samples (Hovatta et al. 1998; Roberts et al. 1999).

Even relatively large studies are likely to have a low power to map genes of modest effect by linkage analysis (Terwilliger and Göring 2000). It is commonly noted that the most powerful way to increase statistical power is to analyse original genotype data from several independent studies combined (Lander and Kruglyak 1995; Wise et al. 1999). Data sharing is not always possible in practice and that is why meta-analysis methodologies have been developed for genetic linkage analyses. Currently, the most widely used meta-analysis approach is the Genome Search Meta-Analysis (GSMA) method (Wise et al. 1999), but some other methods also exist (Dempfle and Loesgen 2004). The GSMA method assesses evidence for linkage within specified chromosomal regions by splitting the chromosomes into bins of approximately equal length. These bins are ranked based on linkage evidence within each scan, the ranks for each bin is summed across genome-wide scans, and finally the summed rank is compared to its

probability distribution under null hypothesis. The advantage of this approach is that it enables comparison of genome-wide scans, which have used different family structures, marker sets, and statistical analysis methods (Wise et al. 1999).

2.2.6 Association mapping

Association mapping can be divided into direct and indirect methods. In direct association analysis, the variant itself is thought to predispose to the disease. In practice, this method is confined only to analyses of known functional variants in candidate genes. The indirect method, in contrast, employs linkage disequilibrium (LD), which is a phenomenon of non-random association of alleles at adjacent loci (Collins et al. 1997; Kruglyak 1999). The fundamental idea behind the LD mapping is that the affected individuals in the population have inherited the same ancestral predisposing allele identical-by-descent (IBD). When a new allele is incorporated into a population and is transmitted to the next generation, the haplotype of polymorphisms are brought along with it. LD around newly generated allele decays through recombination over time, but the ancient genetic architecture may still be detectable after several generations (Terwilliger and Göring 2000). Some of the early examples introducing LD mapping to medical genetics include studies of diastrophic dysplasia (DTD; MIM 222600) (Hastbacka et al. 1992) and infantile-onset spinocerebellar ataxia (IOSCA; MIM 271245), where only four affected individuals from two consanguineous pedigrees were sufficient for the initial disease gene localisation (Nikali et al. 1995).

The rationale for the use of association-based methods as a primary tool in mapping of complex diseases comes from the recognition that linkage-based methods have a low power to map susceptibility genes with low genotypic relative risk. In such cases, association analyses are more powerful than linkage-based methods, at least in theory (Risch and Merikangas 1996). In addition, linkage-based methods are not suitable for fine-scale mapping. Since the direct association method is confined only to some special cases, the crucial question is whether susceptibility loci could be detected through LD

between the disease variant and a nearby marker (Collins et al. 1997). Based on the common disease/common variant (CD/CV) hypothesis, genetic susceptibility for common diseases is often influenced by relatively common disease predisposing alleles. In cases consistent with CD/CV hypothesis, common alleles have a modest impact on individuals, but a strong effect on human population (Lander 1996; Chakravarti 1999; Reich and Lander 2001). This assumption is the prerequisite for success in LD-based association mapping of common disorders.

The currently available empirical evidence is not sufficient to estimate how commonly the CD/CV hypothesis holds true. There are now several examples of variants conferring susceptibility for a common disease, but most of these are rare subtypes, which have modest impact at the population level (Risch 2000). There are, however, also some known cases, in which common variant predisposes to a common phenotype (Lohmueller et al. 2003). Based on theoretical models, it seems that in general the loci with a strong effect would have higher mutation rates and lower population frequencies, whereas susceptibility genes with modest effect would be more common and have lower mutation rates (Pritchard 2001; Reich and Lander 2001; Pritchard and Cox 2002). So far, however, LD mapping has been most successful in identification and restriction of the loci for rare recessive diseases in isolated populations, which have small number of founders and have undergone a rapid expansion (Peltonen et al. 1999).

2.2.7 Structure of LD in the human genome

Considerable attention has been focused on to what extent LD is observed in the human genome. The extent of LD relates to the question, how dense marker maps are needed for the association analysis. It is important to minimise the number of markers used while maximising information content due to limited genotyping capacity and the fact that multiple statistical tests need corrected p-values. Several measures exist for quantifying the LD, of which the most commonly used are D, absolute value of D', and r^2 (Ardlie et al. 2002; Weiss and Clark 2002).

The empirical evaluations of the extent of LD in the human genome have involved a wide range of strategies including different populations, chromosomal regions, marker types, and marker densities, as well as measures for reporting LD, which makes the comparison of the results from these efforts difficult. Generally, the empirical studies have concluded that LD is extremely variable in several respects, differing markedly across the genomic regions and populations (Ardlie et al. 2002). The average extent of useful LD seems to extend 10-30 kb in European populations and markedly less in the African populations (Reich et al. 2001; Ardlie et al. 2002; Shifman et al. 2003). In rapidly expanded isolated populations, e.g. in the Finnish population, haplotypes extending up to 13 cM have been reported around rare disease alleles (Peltonen et al. 1999). However, the alleles with high frequency (>5%) may not express significantly higher LD in recently expanded isolated populations than in more mixed populations (Kruglyak 1999; Eaves et al. 2000; Taillon-Miller et al. 2000). On the other hand, small constant sized populations (Laan and Paabo 1997; Kaessmann et al. 2002) and small sub-isolates (Varilo et al. 2000; Varilo et al. 2003) seem to express elevated levels of LD. Thus, they could be better suited for the initial coarse mapping of diseases caused by common variants than the traditional isolated populations, given that the population size allows for the collection of a sufficient number of samples for the statistical analyses (Kaessmann et al. 2002; Varilo et al. 2003). Consistently with this idea, Reich and colleagues (2001) suggested that fine-scale mapping should be performed in African populations, because they show significant LD only over very short intervals and therefore could better refine the critical region. Therefore, gaining access to multiple populations with divergent demographic histories would theoretically be an optimal setting for LD mapping studies, but such an approach obviously has some practical limitations.

There has recently been a shift from quantifying usable levels of LD to studying the structure of LD. Despite the apparent complexity of observed LD patterns, recent studies have proposed that the underlying structure of LD in the human genome can be modelled

as a series of discrete haplotype blocks, which are flanked by regions of recombination hot spots (Daly et al. 2001; Jeffreys et al. 2001; Patil et al. 2001; Dawson et al. 2002; Gabriel et al. 2002; Phillips et al. 2003). Gabriel and colleagues (2002) identified haplotype blocks with an average block size of 11 and 22 kb in African and non-African samples, respectively. It is noteworthy that only a few haplotypes per block were generally observed, and a large portion of the haplotypes seemed to be shared across the populations (Gabriel et al. 2002). Even if some regions in the genome fit well with the haplotype block model, LD seems to be more complicated in large portions of the genome. All currently available studies have reported some large blocks extending >100 kb, but also regions of no detectable LD over small distances (<1kb) (Daly et al. 2001; Patil et al. 2001; Dawson et al. 2002; Gabriel et al. 2002; Phillips et al. 2003).

Some of the basic assumptions in the haplotype block model are still unclear. Recent studies support the fact that recombination is often clustered on hot spots, but it is yet unknown to what extent recombination is concentrated in that way (Arnheim et al. 2003; Zhang et al. 2003). It is not clear to what extent other factors than recombination, such as gene conversion or genetic drift, affect the LD block structure (Ardlie et al. 2001; Weiss and Clark 2002; Zhang et al. 2003). If the recombination hot spot model is the predominant mechanism for block formation, the block boundaries should be strongly correlated across different populations. Otherwise, the block structure has to be empirically determined for each population (Wang et al. 2002; Zhang et al. 2003). Furthermore, the use of only common SNPs, sparse marker maps and small sample sizes may have led to overestimation of block lengths in the empirical haplotype block analyses and it has been argued that only a few of the currently available studies satisfy the criteria for the optimal study design (Wang et al. 2002; Zhang et al. 2003; van den Oord and Neale 2004).

The haplotype-block model may have important implications for large-scale association studies, since it indicates a simple rationale for how to select SNP markers. The main haplotypes could be labelled with a small number of haplotype-tagging SNPs (htSNPs)

sufficient to distinguish between the main haplotypes within a block. Thus, most of the variation in the genome could be traced with a limited number of markers (Daly et al. 2001; Patil et al. 2001; Dawson et al. 2002; Gabriel et al. 2002; Phillips et al. 2003). The United States National Human Genome Research Institute (NHGRI) and Wellcome Trust have initiated an effort, called the International HapMap project, which aims to create a genome-wide map of haplotype-blocks in several populations. This effort is hoped to facilitate the positional cloning of common variants by genome-wide association studies (The International HapMap Consortium 2003; Hirschhorn and Daly 2005). The success of the HapMap tool is highly dependent on the CD/CV hypothesis, and it is probably not a powerful tool for mapping rare and geographically localised variants (Weiss and Clark 2002; van den Oord and Neale 2004). Yet, even if novel highthroughput technologies allow genotyping of massive numbers of SNP markers per sample (Syvänen 2005; Hirschhorn and Daly 2005), huge sample sizes would be needed to reach statistical significance in a genome-wide association study due to multiple statistical testing. Various estimates of the amount of SNPs needed to extract information of genome have been put forward and they reach from 300 000 to 3 million or more. The HapMap concept involves several unanswered questions, and its relevance for mapping of common disease genes, at least at the genome-wide scale, remains elusive.

2.2.8 Study designs in association mapping

The case-control strategy, which compares the allele-frequencies between the cases and controls, has traditionally been the most widely applied approach in association analyses (Figure 4). The advantage of this strategy is that such studies are relatively easy to set up. On the other hand, case-control studies are prone to false-positive associations caused by population stratification and systematic errors in control sampling. The careful matching of cases and controls is crucial, since any differences in allele-frequencies lead to positive associations. Control ascertainment can be improved by using a prospective cohort study, where a large number of individuals are collected prior onset of the

disease, and the association between cases and controls is tested after the age of disease onset. In this way, bias in control population selection is avoided. However, such study design requires much more resources, larger sample sizes, and longer time than the traditional case-control design (Cardon and Bell 2001; Ott 2004). Alternatively, population stratification may be assessed by using data from a series of unlinked markers. A method entitled genomic control compares the chi-square statistics for the test data with the chi-square obtained on unlinked markers, which results in corrected chi-square statistics (Pritchard et al. 2000).

Methods using family-based controls have been developed to avoid bias in control ascertainment. In haplotype-relative risk (HRR) method, parental alleles are classified into those transmitted to affected children and those not transmitted. HRR compares allele and genotype frequency between the proband group and a control group, which is constructed from non-transmitted alleles. The relative risk is assessed by chi-square statistics (Falk and Rubinstein 1987; Ott 1999). The transmission disequilibrium test (TDT) is a modification of HRR, which tests linkage in the presence of LD using data consisting of parents and the affected offspring. The frequency of transmitted alleles is compared with non-transmitted parental control alleles, and the statistical significance of the deviation is tested (Spielman et al. 1993; Spielman et al. 1994; Spielman and Ewens 1996). Various extensions of TDT are currently available to overcome the problems caused by missing or homozygous parental data, eliminate the effect of linkage when multiple siblings are present in a family, and to include quantitative traits in the analyses (Clayton 1999; Abecasis et al. 2000; Göring and Terwilliger 2000; Horvath et al. 2001). There are also methods to combine information from several adjacent SNP markers to construct haplotypes, which can be used as multiallelic markers in the family-based association analyses (Clayton 1999; Horvath et al. 2001). The major drawback in all the family-based association methods is clearly that parental samples are not always available, especially in late-onset diseases. It has also been suggested that TDT-based tests are more susceptible to false-positive results due to technical artefacts than casecontrol studies (Hirschhorn and Daly 2005).

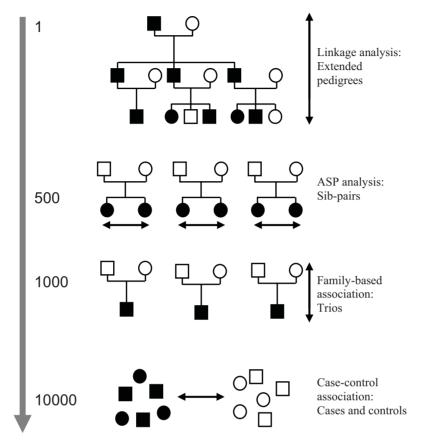


Figure 4. Comparison of different study designs in genetic mapping. Linkage analysis tests correlation of marker alleles and phenotype within families. Association analyses, by contrast, test correlation of marker alleles and phenotype between the families.

2.2.9 Validity of genetic associations

Promising associations have recently emerged for common complex diseases, but the inability to replicate the original findings is still the predominant phenomenon in the genetic association studies. In principle, the inconsistency may be due to false negative studies, false positive studies, or true variability in association among different

populations (Lohmueller et al. 2003). Some of the difficulties in the interpretation of the reported associations are listed below.

Association analyses commonly consider candidate genes, which can be divided into positional and hypothesis-driven candidates. Positional candidates are selected because they are located in a genomic region that has been identified by mapping studies. Hypothesis-driven candidates, by contrast, are selected because they fit into a proposed model of the physiology of the phenotype (Glatt and Freimer 2002). The findings from these two types of studies should be considered somewhat differently. The hypothesis-driven candidates have been selected based on a limited knowledge of relevant pathways for the disease pathogenesis, and thus the vast majority of functional candidates are excluded from these analyses. Therefore, the prior probability is in many cases extremely low, which implies that highly convincing evidence is needed to reject the null hypothesis. For positional candidates, prior probability is higher due to advance evidence from mapping studies (Owen et al. 1997; Glatt and Freimer 2002; Ott 2004).

Many of the problems in association studies relate to the sample-size. Studies with small or moderate samples are prone to random error and often provide wide confidence intervals for any significant conclusions. Generally, the genetic determinants are thought to be of modest effect, which means that large samples are required for convincing associations (Owen et al. 1997; Cardon and Bell 2001; Glatt and Freimer 2002). Furthermore, as in linkage analysis, it has been shown that the estimate of the genetic effect in the first positive report is usually biased upward complicating the replication attempts in the samples that are of similar size as in the original study (Göring et al. 2001; Lohmueller et al. 2003). Meta-analyses have become popular methods also in genetic association studies to increase statistical power and estimate significance levels across published studies (Munafo and Flint 2004).

The significance level is often difficult to specify in the presence of multiple testing and low prior probability. It is obvious, however, that more stringent significance level criteria than the conventional p<0.05 are needed for convincing associations (Ott 2004).

Typically, all the statistical tests performed are not reported or the reported associations are not corrected for multiple testing. This inevitably leads to overestimation of the significance (Owen et al. 1997; Ott 2004). The problem is multiplied by the recent emergence of haplotype-based association methods. Usually numerous combinations of tightly linked SNPs are tested, each with a possibility of observing type I error. On the other hand, traditional statistical corrections, such as Bonferroni correction, may be overly conservative when highly correlated markers are tested (Cardon and Bell 2001; Hennah et al. 2004). Production of empirical p-values overcomes some of the multiple testing problems, but the results are dependent upon the arbitrarily chosen number of permutations to be carried out (Hennah et al. 2004). It is also evident that negative results from the replication studies are not published as frequently as positive findings. This leads to publication bias, meaning that significant p-values tend to be over-represented in the literature (Terwilliger and Weiss 1998; Ott 2004).

Consideration of the haplotypes or LD across a gene of interest has started only recently. Failing to do so will likely produce type II error or result in inconsistent results across the studies (Ott 2004). Effect of LD on the sample size required to detect association is exemplified in Figure 5.

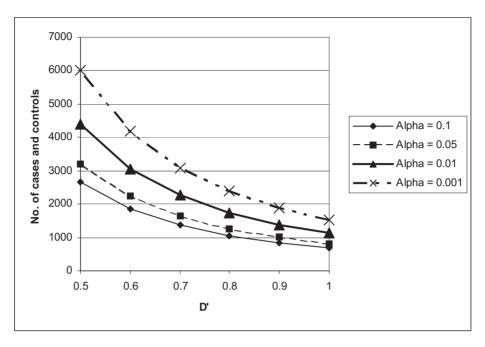


Figure 5. Effect of LD on the sample size required in association mapping. D' values ranging from 0.5 to 1.0 are show on the X-axis and number of cases and controls required to detect association at significance levels of 0.1, 0.05, 0.01 and 0.001 (95% power) are shown on the Y-axis. The arbitrarily chosen parameters are as follows: disease-allele frequency = 0.3, minor allele frequency of the marker = 0.3, population prevalence = 0.01, genotype relative risk = 1.5. Simulations were performed using the Genetic Power Calculator (Purcell et al. 2003).

Probably the only way either to confirm or disprove the reported associations is to perform several independent replication studies, each analysing a full spectrum of allelic variants within the associated region. The accumulated information, along with functional information, should eventually reveal whether the associations are valid or not (Hennah et al. 2004; Ott 2004).

2.2.10 Value of population isolates in the complex disease mapping

Isolated populations, such as the Finnish population, have been extremely useful for mapping rare Mendelian diseases. By definition, these populations are founded by a relatively small number of individuals and remained isolated due to e.g. cultural and/or geographical factors. Several factors, such as a high degree of inbreeding, rapid expansion, population bottlenecks and genetic drift, have modified the genetic background leading to the reduced genetic diversity and enrichment of some alleles (Peltonen et al. 2000). In the Finnish population this is exemplified by enrichment of a special set of monogenic disorders caused by one or few ancestral mutations (the so called Finnish disease heritage) and absence of some diseases, which are common elsewhere. When the vast majority of cases are caused by the same ancestral mutation, LD is observed in significant intervals, thereby greatly facilitating the disease gene identification by haplotype-based methods (Peltonen et al. 1999; Norio 2003a; Norio 2003b).

For common alleles, the situation is less straightforward. Even if the number of founders is relatively small, common alleles will enter the founder population so many times that the length of shared haplotypes around these alleles will be indistinguishable from that of the much larger ancestral population. This is why significantly elevated levels of LD have not generally been detected around common alleles in the isolated populations (Kruglyak 1999; Eaves et al. 2000; Taillon-Miller et al. 2000; Hirschhorn and Daly 2005). However, population isolates offer some other advantages, which might prove important in the genetic mapping of many common diseases. First, the people in many isolates share a common environment and culture, which are potentially important factors in the development of many complex diseases. Second, it is easier to standardize diagnostic criteria and thus markedly decrease the phenotypic heterogeneity. In some isolated populations, clinical information is systematically collected into the health-care records, which are usually available for research purposes. Third, it is in some cases possible to identify small subisolates or reconstruct large pedigrees based on the

population registries. This approach should greatly increase the genetic homogeneity of the sample. Finally, the benefits of the reduced genetic heterogeneity in isolates will certainly hold in the cases where rare alleles confer significant increase in susceptibility to complex diseases (Peltonen et al. 2000).

2.3 Genetics of autism spectrum disorders

2.3.1 Establishing the genetic component in Autism Spectrum Disorders

In a family study by Bolton and colleagues (1994) 2.9% of autistic probands' siblings had autism and a further 2.9% had features of the more broadly defined PDD (atypical autism or AS). Therefore, assuming a prevalence rate of 2/1000 the sibling risk compared with the risk in the general population (λ_s) would be around 15-30 for autism indicating strong familial clustering of the disorder. Twin studies have suggested that familial clustering is mainly due to genetic factors. Bailey and colleagues (1995) reanalysed the twin pairs originally included in the two studies by Folstein and Rutter (Folstein and Rutter 1977b; Folstein and Rutter 1977a) and expanded the sample to include 25 MZ and 20 DZ twins. A strong genetic component in autism was established with 60% MZ concordance and 0% DZ concordance for narrow phenotypic definition for autism. The concordance rate of 0% for DZ-twins is likely to be an underestimate due to the small sample size used, and the true DZ-twin concordance is probably similar to the nontwin-sib rate. The corresponding figures for MZ and DZ twins with broad spectrum of cognitive or social abnormalities was 90% and 10%, respectively. (Bailey et al. 1995). Even higher MZ concordance rates were reported in a study by Steffenburg and colleagues (1989). In their sample collected from Nordic countries, 91% (10/11) of MZ twins and 0% (0/10) of DZ twins were concordant for autism. Also, several other twin studies have been published in autism but they have generally failed to include sufficient number of cases or they have suffered from inappropriate methodological procedures (see, e.g. Ritvo et al. 1985).

Several studies have provided evidence that a complex mode of inheritance with multiple interacting genes and environmental factors is most likely for autism. The first evidence for this is a rapid cut-off in MZ and DZ twin concordance rates (Bailey et al. 1995) combined with further cut-off in both autism and broader phenotype going from first-degree relatives to second-degree relatives (Jorde et al. 1990). Furthermore, the less than 100% risk for MZ twins indicates that also other than genetic factors play a role (Bailey et al. 1995). The segregation analyses performed in autism have rejected a single locus model and supported the fact that a polygenic model is most likely (Jorde et al. 1991; Pickles et al. 1995). Pickles and associates (1995) concluded that a three-gene epistatic model is most likely, even if the range could be between two and ten. In their report of a genome-wide scan in autism Risch and colleagues (1999) proposed that more than 15 loci might contribute to the aetiology of autism.

Evidence concerning familial aggregation and genetic contribution to AS is still scarce. However, several case reports, including the original report by Asperger (1944), have noted that AS-like problems in social interactions are frequently present in AS individuals' family members (Asperger 1944; Burgoine and Wing 1983; Bowman 1988; Gillberg 1989; Volkmar et al. 1996; Volkmar et al. 1998; Folstein and Santangelo 2000). The preliminary results from the Yale Asperger Project with 99 families indicate that there was a positive family history of AS or similar condition in 46% of the families (Volkmar et al. 1998). Gillberg (1989) described 23 AS families, in which 28% of the parents fulfilled the criteria for a broad autism phenotype or AS. Thus, the preliminary evidence available suggests that familial aggregation of AS might be even stronger than that of autism (Gillberg 1989; Volkmar et al. 1998). Furthermore, autism and AS commonly exist in the same families suggesting that at least in some cases common aetiological factors might predispose to these syndromes (Gillberg 1989; Volkmar et al. 1998; Folstein and Santangelo 2000).

2.3.2 Known genetic aetiologies associated with autism

Autism is over-represented as part of the behavioural phenotype in several syndromes of genetic origin (Table 3). Some of these monogenic causes may lead to a phenotype, which is indistinguishable from the idiopathic cases, whereas in some other cases the autistic-like features are only a one component of the phenotype. Known genetic syndromes together with chromosomal abnormalities constitute approximately 10-15% of autism cases (Bailey et al. 1996; Chakrabarti and Fombonne 2001; Folstein and Rosen-Sheidley 2001). However, the relevance of these genes to the understanding of the pathogenesis of idiopathic autism is yet uncertain.

Fragile X syndrome (FRAXA). The phenotype of fragile X syndrome (MIM 309550) includes moderate to severe mental retardation, macroorchidism, large ears, a prominent jaw, and high-pitched jocular speech. Males with FRAXA have also numerous autisticlike features (Feinstein and Reiss 1998; Sabaratnam et al. 2003). Fragile X syndrome is caused by mutations in fragile X mental retardation 1 gene (FMR1) that result from a CGG trinucleotide expansion in the 5' untranslated region and lead to DNA methylation and lack of transcription (Verkerk et al. 1991; Feinstein and Reiss 1998). FMR1 gene encodes an RNA-binding protein FMRP (fragile X mental retardation protein), which influences synaptic plasticity through regulation of mRNA transport and local protein synthesis at synapses using micro RNA (miRNA) pathway as the main mechanism (Jin et al. 2004). Varying frequencies of FRAXA have been reported in autism. Earlier studies reported only a little association, whereas more recent studies have shown frequencies ranging from 2 to 8% (Bailey et al. 1996; Feinstein and Reiss 1998; Wassink et al. 2001; Muhle et al. 2004). ASDs are relatively common in the group of fragile X patients with up to 30% of the patients with FRAXA fulfilling the criteria for ASD (Feinstein and Reiss 1998; Rogers et al. 2001; Muhle et al. 2004).

Tuberous sclerosis complex (TSC). The tuberous sclerosis complex (MIM 191100; 191092; 605284) is a dominantly inherited disease characterized by the presence of

hamartomata in multiple organ systems. The clinical features include epilepsy, learning difficulties, behavioural problems, as well as skin and renal lesions. It has been estimated that as many as from 25 to 50% of patients with TSC fulfil the criteria for autism, whereas the prevalence of TSC in autism has been reported as 1-4% (Smalley 1998; Curatolo et al. 2004; Wiznitzer 2004). Mutations in two different tumour suppressor genes, *TSC1* encoding hamartin protein and *TSC2* encoding tuberin protein, may lead to TSC (The European Chromosome 16 Tuberous Sclerosis Consortium 1993; van Slegtenhorst et al. 1997). These proteins form a TSC1-TSC2 complex, which is involved in regulation of cell growth and proliferation (Pan et al. 2004). It is yet unclear whether the autistic features in TSC are caused by the presence of a non-specific disruption of brain function related to complications of TSC, such as seizures, MR, and presence of tubers in the temporal lobes, or whether the autism is caused by a distinct molecular mechanism involving TSC1-TSC2 complex (Wiznitzer 2004).

Neurofibromatosis (NF1). Type I neurofibromatosis (MIM 162200) is an autosomal dominant disorder characterized by cafe-au-lait spots and fibromatous tumours of the skin. NF1 gene mutated in type 1 neurofibromatosis encodes a tumour suppressor called neurofibrin, which functions as a negative regulator of Ras, a fundamental protein controlling cell proliferation. Impaired neurofibrin function leads to elevated Ras activity and, consequently, increased cell proliferation (Cawthon et al. 1990; Dasgupta and Gutmann 2003). Neurofibromatosis appears to overlap with small fraction of autism cases, but greatly varying frequencies have been reported due to small sample sizes in the studies. However, the frequency of neurofibromatosis in autism seems to be much lower than those of FRAXA or tuberous sclerosis (Williams and Hersh 1998).

NLGN4 and *NLGN3* mutations. Two X-chromosomal members of the neuroligin gene family, *NLGN3* and *NLGN4*, have been shown to be mutated in some patients with ASDs. Two affected males with autism and AS in a Swedish family had an insertion in *NLGN4*, which led to premature truncation of the protein (D396X). In another Swedish family with autism and AS, an R451C substitution in *NLGN3* was identified (Jamain et

al. 2003). In addition, a truncating *NLGN4* mutation (D429X) was later reported in a large family, where mental retardation with or without ASDs segregated X-chromosomally (Laumonnier et al. 2004).

Neuroligins were originally isolated as splice site-specific ligands of β-neurexins in the rat brain (Ichtchenko et al. 1995). The neuroligin gene family consists of five genes in humans: neuroligin-1 (*NLGN1*) located at 3q26, neuroligin-2 (*NLGN2*) located at 17p13, neuroligin-3 (*NLGN3*) located at Xq13, neuroligin-4 (*NLGN4*) located at Xp22, and neuroligin-4Y (*NLGN4Y*) located at Yq11. Neuroligin genes encode 816–863 amino acid transmembrane proteins which are composed of five distinct domains: an N-terminal cleaved signal peptide, a large extracellular domain homologous to acetylcholinesterases (AchE), a short linker domain, transmembrane domain, and a cytosolic region (Ichtchenko et al. 1995; Ichtchenko et al. 1996; Bolliger et al. 2001). The extracellular esterase-domain lacks an active site serine suggesting that it is not catalytically active (Ichtchenko et al. 1995).

The rodent neuroligins 1, 2, and 3 have been shown to be expressed at high levels only in the brain (Ichtchenko et al. 1995; Ichtchenko et al. 1996; Song et al. 1999). Similarly, human neuroligins are expressed in the brain with no considerable differences in the regional distribution (Song et al. 1999; Jamain et al. 2003). However, at least human *NLGN4* has been shown to be expressed also in heart, liver, skeletal muscle, and pancreas (Bolliger et al. 2001). Neuroligin-1 expression has been shown to be low in embryonic brains but increase dramatically after birth. The expression was again reduced during the period when most synapses are already formed, but some level of expression seems to persist throughout adulthood (Ichtchenko et al. 1996; Song et al. 1999; Scheiffele et al. 2000). It has been suggested that such an expression pattern might reflect the involvement of neuroligins in the synaptic remodelling processes or in the regulation of the size and strength of synaptic connections by inducing new presynaptic specializations or by stabilizing pre-existing structures (Scheiffele et al. 2000).

Neuroligins have several well-characterized interactions. As noted above, at the presynaptic side neuroligins bind to β -neurexins in a Ca²⁺-dependent manner (Ichtchenko et al. 1995; Comoletti et al. 2003). At the postsynaptic density, neuroligins interact with PDZ-domains (PSD-95-*Drosophila* discs-large tumour suppressor proteinzona occludens-1) of several proteins, including PSD-95 (post synaptic density 95) and S-SCAM (synaptic scaffolding molecule) (Irie et al. 1997; Hirao et al. 1998; Meyer et al. 2004). However, the PSD-95 or β -neurexin interactions are not needed for the targeting of the neuroligins to synapses, but instead, neuroligins have an intracellular domain that is essential for postsynaptic targeting (Dresbach et al. 2004).

Neuroligins seem to be capable of triggering the de novo formation of presynaptic structures at glutamatergic synapses. Scheiffele and colleagues (2000) observed development of several presynaptic structures when CNS neurons were co-cultured with non-neuronal cells expressing neuroligins in vitro. These changes involved clustering of synaptic vesicles within axons and several functional and morphological changes typical for neuron-neuron synapses. Such changes are not typically observed when neurons are contacted with non-neuronal cells. The neuroligin activity seemed to require specific sequences in the extracellular, acetylcholinesterase-homologous domain of the protein. In addition, the neuroligin-activity was inhibited by adding soluble β -neurexin indicating that B-neurexins were primary candidates for mediating the neuroligin functions (Scheiffele et al. 2000). It was later shown that neuroligins form oligomers and these oligomers recruit neurexins to newly forming synaptic sites. A two-step model of neuroligin function was proposed, in which postsynaptic neuroligin oligomers initially cluster axonal neurexins. In response to this clustering, neurexins nucleate the assembly of a cytoplasmic scaffold to which the exocytotic apparatus is recruited (Dean et al. 2003). Neurexins are directly coupled to synaptotagmins, which are core molecules of synaptic vesicle machinery that regulate neurotransmitter exocytosis (Hata et al. 1993). Therefore, neuroligin - β-neurexin interaction may activate an array of presynaptic molecular responses, leading to structural re-organisation of the presynaptic compartment (Prange et al. 2004).

There is now evidence that neuroligins might also be involved in mediating the synapse formation at the inhibitory synapses. Neuroligins-1, -3, and -4 are localized exclusively at the postsynaptic side of the excitatory synapses (Song et al. 1999; Graf et al. 2004). Neuroligin-2, by contrast, has been shown to localize also at the inhibitory synapses (Graf et al. 2004; Varoqueaux et al. 2004; Chih et al. 2005). It has been shown that mislocalized expression of neuroligin-2 disperses the postsynaptic proteins and disrupts synaptic transmission at both inhibitory and excitatory synapses (Graf et al. 2004). Furthermore, neuroligin over-expression seems to increase both excitatory and inhibitory synaptic contacts (Prange et al. 2004; Chih et al. 2005). Finally, knockdown of neuroligin function by the RNA interference (RNAi) method reduces the number of both excitatory and inhibitory synapses. The effect concerning inhibitory synapses are more pronounced when the function of neuroligin-2 is suppressed (Chih et al. 2005). Thus, it seems that neuroligin - β-neurexin interaction is a major component in synapse formation mediating both GABAergic and glutamatergic synaptogenesis (Graf et al. 2004; Prange et al. 2004). Furthermore, based on RNAi studies and the neuroligin knock-out mouse (for which the phenotype has not been published), it seems that different neuroligin isoforms are able to compensate for each other's functions at least to some extent (Song et al. 1999; Chih et al. 2005).

Multiple lines of evidence indicate that the reported *NLGN3* and *NLGN4* mutations have deleterious effects at the molecular level. First, both of the truncating mutations reported by Jamain and co-workers (Jamain et al. 2003) and Laumonnier and co-workers (Laumonnier et al. 2004) involve deletion of the AchE-homologous domain, which is required for oligomerization and the synapse promoting activity of neuroligins (Dean et al. 2003). Second, Comoletti and colleagues (2004) reported markedly diminished β -neurexin binding activity of the R451C mutation in *NLGN3* compared to the wild-type protein. Third, both R451C mutation in *NLGN3* and D396X mutation in *NLGN4* lead to retention of the protein in the endoplasmic reticulum, and cell surface levels of the mutants are significantly lower than the levels of the wild-type protein. Finally, neither

of these mutants seem to promote presynaptic differentiation (Chih et al. 2004; Comoletti et al. 2004). Although the functional studies support that *NLGN3* and *NLGN4* mutations may be causative for autism, they occur at a low frequency. The two original mutations were identified among 158 samples from independent families with autism or AS (Jamain et al. 2003). Subsequently, Vincent and colleagues (2004) and Gauthier and colleagues (2004) performed mutation analysis of *NLGN3/NLGN4* genes by using samples of 196 and 96 autistic probands, respectively. No causative mutations were identified in either of the samples. Thus, the currently reported frequency of either *NLGN3* or *NLGN4* mutations is around 0.4%.

Other syndromes associated with autism. Several other rare syndromes having autistic behavioural pattern have been reported (Table 3). Phenylketonuria (PKU; MIM 261600) is the earliest reported association between a Mendelian condition and autism but it seems to occur in a very low frequency (Folstein and Rosen-Sheidley 2001; Baieli et al. 2003). Currently, the association is probably non-existent due to effective treatment of the PKU (Folstein and Rosen-Sheidley 2001). In addition, the phenotypes of e.g. Smith-Lemli-Opitz syndrome (SLOS; MIM 270400), Cornelia de Lange syndrome (CDLS; MIM 122470), Angelman syndrome (MIM 105830), Prader-Willi syndrome (PWS; MIM 176270), and Timothy syndrome (TS; MIM 601005) are associated with autistic behaviour (Steffenburg et al. 1996; Berney et al. 1999; Tierney et al. 2000; Splawski et al. 2004; Veltman et al. 2004). Furthermore, some female cases with atypical autism phenotype have been reported to have MECP2 or CDKL5/STK9 mutations originally associated with Rett syndrome (Carney et al. 2003; Weaving et al. 2004).

Table 3. Syndromes and single gene mutations associated with autistic behavior. Note that for most aetiologies it is difficult to give reliable frequency estimates due to the rarity of the overlap.

Etiology	MIM	Characteristic phenotype	Defective gene	Chromosomal location	Mode of inheritance	Frequency in autism
Angelman syndrome	105830	MR, ataxia, hypotonia, characteristic facial features, Epilepsy, absence of speech, predominant smiling and laughter	UBE3A	15q11.2	Mostly sporadic	-
Cornelia de Lange Syndrome	122470	Characteristic facies in association with prenatal and postnatal growth retardation, MR, upper limb anomalies	NIPBL	5p13.2	Mostly sporadic	-
Fragile X syndrome	30950	Moderate to severe MR, macroorchidism, large ears, prominent jaw, high-pitched jocular speech	FMR1	Xq27.3	X-chromosomal	2-8%
Neurofibromatosis (Type I)	162200	Cafe-au-lait spots and fibromatous tumours of the skin, abnormalities of the skeleton and CNS, learning difficulties	NF1	17q11.2	Autosomal dominant	0.3-6%
NLGN3/NLGN4	300425	Autism, AS, non-specific MR	NLGN3	Xq13.1	X-chromosomal	Few cases
mutations	300495		NLGN4	Xp22.3		reported
Phenylketonuria	261600	MR, characteristic odour, light pigmentation, peculiarities of gait, stance, and sitting posture, eczema, epilepsy	PAH	12q23.2	Autosomal recessive	-
Prader-Willi syndrome	176270	MR, obesity, hypogonadism, hypotonia, short stature, small hands and feet, hypopigmentation, characteristic facial features	unknown	15q11-13	Mostly sporadic	-

Table 3 continued.

Etiology	MIM	Characteristic phenotype	Defective gene	Chromosomal location	Mode of inheritance	Frequency in autism
Rett syndrome/	312750	Loss of acquired skills between ages 6 and 30 months,	MECP2	Xq28	X-chromosomal	Few atypical
Rett variants		communication dysfunction, social withdrawal, deceleration	CDKL5	Xp22.13		cases
		of head growth, stereotypic hand movements, gait appraxia/				reported
		ataxia				
Smith-Lemli-Opitz	270400	MR; dysmorphic features, occasionally malformations	DHCR7	11q13.4	Autosomal recessive	-
syndrome		in the brain, lung, heart, and gastrointestinal tract				
Timothy syndrome	601005	Arrhythmia, congenital heart disease, syndactyly, immune	CACNA1C	12p13.3	Sporadic	Few cases
		deficiency, hypoglycemia, cognitive abnormalities, autism				reported
Tuberous Sclerosis	191100	Presence of hamartomata, epilepsy, learning difficulties,	TSC1	9p34.13	Autosomal dominant	1-4%
	191092	behavioural problems, and skin and renal lesions.	TSC2	16p13.3		
	605284					

2.3.3 Cytogenetic findings

The reported estimates of the rate of chromosomal aberrations have ranged from less than 5% to 48%, depending mainly on whether subjects with very low IQ or physical abnormalities are included. Chromosomal aberrations have been reported for most of the chromosomes, the most frequent findings being for the long arm of chromosome 15 and sex chromosomes (Gillberg 1998; Folstein and Rosen-Sheidley 2001).

Chromosome 15q11-13 aberrations. Most of the reports of chromosomal abnormalities reported for chromosome 15 have been maternal duplications involving 15q11-q13 region, which account for 1-3% of patients with autism (Gillberg 1998; Wassink et al. 2001; Veenstra-VanderWeele and Cook 2004). Region 15q11-13 is a critical region for the Prader-Willi and Angelman syndromes, which are both associated with the autistic behavioural pattern (Steffenburg et al. 1996; Veltman et al. 2004). Other characteristic features for these syndromes have been listed in Table 3. Angelman syndrome results most commonly from a de novo maternal microdeletion of the chromosome 15q11-13, but a low frequency of Angelman syndrome cases is also caused by paternal uniparental disomy, UBE3A mutations, or imprinting mutations, in which the maternal chromosome has the paternal pattern of methylation (Veenstra-VanderWeele and Cook 2004). Prader-Willi syndrome, by contrast, results from a de novo paternal microdeletion of the 15q11-13 region or uniparental disomy for the maternal chromosome 15 (Veltman et al. 2004). It is probable that most of the structural abnormalities observed at 15q11-13 arise from recombinations involving highly homologous regions on both 15q11 (break-points BP1 and BP2, see Figure 6) and 15q13 (BP3), which derive largely from duplications of a HERC2 (hect domain and RLD 2) gene (Nicholls and Knepper 2001).

Consistently with the parental effect in the inheritance, the 15q11-13 region contains a cluster of genes which are subject to genomic imprinting. The promoter of the small nuclear ribonucleoprotein polypeptide N (*SNRPN*) gene is located within the CpG island that is completely methylated on the maternal chromosome and completely

unmethylated on the paternal chromosome. This region is denoted as the imprinting centre of the 15q11-13 locus (Shemer et al. 2000). The genes located proximally to the imprinting centre are paternally expressed, whereas the genes distally to imprinting centre are maternally expressed. A cluster of non-imprinted GABA_A receptor genes is located further distally to the maternal expression domain, but yet within the autism critical region (Jiang et al. 1999). Angelman syndrome is thought to result from the lack of expression of the maternally expressed *UBE3A* gene in the brain, whereas other deleted genes are considered as having a modifying effect. *UBE3A* encodes a ubiquitin protein ligase, E6-AP, which is involved in the proteasome-mediated protein degradation (Jiang et al. 1999; Nicholls and Knepper 2001). The PWS critical region includes several paternally expressed genes but no single gene mutations causing PWS or a PWS-like disorder have been reported. Thus, there is no single gene, which would be unequivocally linked to the PSW phenotype (Nicholls and Knepper 2001). An overview of the genomic organization of the 15q11-13 locus is shown in Figure 6. Analyses of the 15q11-13 candidates in autism have been reviewed in 2.3.5.

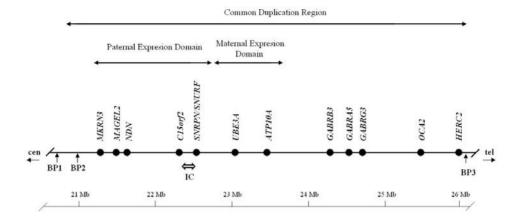


Figure 6. Critical region for autism, Angelman syndrome and Prader-Willi syndrome on 15q11-13. Abbreviations are as follows: BP, break-point; IC, imprinting center; *MKRN3*, makorin ring finger protein 3; *MAGEL2*, MAGE-like 2; *NDN*, Necdin; *C15orf2*, chromosome 15 open reading frame 2; *SNRPN*, small nuclear ribonucleoprotein polypeptide N; *SNURF*, SNRPN upstream reading frame protein; *UBE3A*, ubiquitin protein ligase E3A isoform 3; *ATP10A*, ATPase Class V type 10A; *GABRB3*, γ-aminobutyric acid (GABA) A receptor beta; *GABRA5*, GABA A receptor alpha; *GABRG3*, GABA A receptor gamma; *OCA2*, oculocutaneous albinism II; *HERC2*, hect domain and RLD 2. See text for further details. The figure is modified from Veenstra-VanderWeele and Cook (2004) and Nicholls and Knepper (2001) with additional data from the UCSC Human Genome Browser (May 2004 assembly).

Turner syndrome. Another chromosome of frequent aberrations in autism is chromosome X, where a wide range of different abnormalities has been observed (Gillberg 1998). An interesting observation was made in girls with Turner syndrome, which affects females who possess only one copy of the X-chromosome. Skuse (2000) has reported that 6.4% of the girls with Turner syndrome who had inherited complete maternal X-chromosome developed autism. By contrast, none of the girls with Turner syndrome and paternally inherited X-chromosome had autism in a total sample of 221 Turner girls. The girls with Turner syndrome who had inherited paternal X-

chromosomes also did significantly better in a test of social-communicative skills than Turner girls with maternally inherited X-chromosomes. Similarly, in the general population girls have superior social-communicative skills compared to boys. Based on these observations, an imprinted-X liability threshold model for autism was proposed. According to this model, genetic vulnerability to autism is due primarily to the effects of the autosomal loci. Females with a normal karyotype have an imprinted protective locus that is expressed from a paternally inherited X-chromosome, and therefore females have a higher threshold for the expression of autistic features. The X-chromosome in males is maternally inherited and, consequently, males lack the protective effect. According to this model, the lack of a paternal X-chromosome would also predispose to poor social-communicative - skills in general (Skuse et al. 1997; Skuse 2000).

Balanced translocations. The chromosomal breakpoints caused by balanced translocations may provide a short cut to susceptibility gene identification, as exemplified by the cases of DISC1/DISC2 (disrupted in schizophrenia 1 and 2) in schizophrenia, FOXP2 (forkhead box P2) in developmental verbal dyspraxia, and DYX1C1 (dyslexia susceptibility 1 candidate 1; also known as EKN1) in dyslexia (Lai et al. 2000; Millar et al. 2000; Lai et al. 2001; Taipale et al. 2003). There have also been some attempts to identify candidate genes by such an approach in autism.

The long arm of chromosome 7 has been a subject of intense research in autism because of overlapping linkage findings from several genome-wide scans (see, 2.3.4). An autistic individual carrying a translocation between chromosomes 7 and 13, t(7;13)(q31.3;q21), was found in a study by Vincent and colleagues (2000). A novel gene denoted as *RAY1* (later known as suppression of tumorigenicity 7, *ST7*) was found to be interrupted by the translocational breakpoint, but the analysis of 27 unrelated autistic individuals failed to show phenotype-specific variants of this gene. Sultana and colleagues (2002) characterized a novel gene (*AUTS2*) located at 7q11.2, which was disrupted by a translocation in MZ twins with autism. No evidence for autism specific mutations or association was observed in a subsequent analysis of 65 families with autism (Sultana et

al. 2002). Also several other translocations for the 7q region have been reported in the autistic patients (Ashley-Koch et al. 1999; Warburton et al. 2000; Tentler et al. 2001).

The Neurobeachin (*NBEA*) gene was found to be disrupted in an autistic patient with a *de novo* translocation t(5;13)(q12.1;q13.2) (Castermans et al. 2003). There are also three additional autism cases reported to carry a chromosomal abnormality involving the *NBEA* locus on 13q13.3 (Ritvo et al. 1988; Steele et al. 2001; Smith et al. 2002). One of the autism genome-wide scans has identified the *NBEA* locus as a potential susceptibility locus for autism (Barrett et al. 1999).

A balanced t(X;8)(p22.13;q22.1) translocation has been reported to disrupt the gastrinreleasing peptide receptor (*GRPR*) located at Xp22.13 in a female patient with autism (Ishikawa-Brush et al. 1997). Subsequently, Thomas and colleagues (1999) reported three females with Xp deletions involving the GRPR locus. It is of interest that the *NLGN4* gene is located at Xp22.13 in the vicinity of the breakpoint reported by Ishikawa-Brush et al. (1997) and within the deleted region reported by Thomas et al. (1999).

Finally, an apparently balanced t(2;8)(q35;q21.2) translocation, which involved partial deletion of the paired box 3 (*PAX3*) gene at 2q25 and a breakpoint at the matrix metalloproteinase (*MMP16*) gene at 8q21.2, has been reported in a boy with developmental delay and autism (Borg et al. 2002).

2.3.4 Genome-wide scans and other linkage studies

So far, a total of 10 genome-wide scans have been published in ASDs (IMGSAC 1998; Barrett et al. 1999; Philippe et al. 1999; Risch et al. 1999; Buxbaum et al. 2001; IMGSAC 2001b; Liu et al. 2001; Shao et al. 2002b; Yonan et al. 2003; Cantor et al. 2005). In addition, two genome-wide scans have been performed in the Finnish population, as described in the experimental part of this thesis (studies I and II). Most of

the studies have included not only the strict phenotypic criteria for autism, but also broader autism phenotype involving individuals with AS and other PDDs. Also, several analyses focusing on specific chromosomal regions have been published (Ashley-Koch et al. 1999; Bass et al. 2000). Furthermore, there have been efforts to dissect the autism samples to dichotomous sub-groups, such as phrase speech delay (PSD) and obsessivecompulsive behaviours, or to analyse quantitative traits associated with autism (Bradford et al. 2001; Buxbaum et al. 2001; Alarcon et al. 2002; Shao et al. 2002a; Buxbaum et al. 2004; Alarcon et al. 2005). It is also of interest that overlapping sample is used in (i) the three studies by the International Molecular Genetic Study of Autism Consortium (IMGSAC) (IMGSAC 1998; IMGSAC 2001a; IMGSAC 2001b), (ii) the studies by Barrett and colleagues (1999) and Bradford and colleagues (2001), (iii) the three studies by Shao and colleagues (2002a; 2002b; 2003), and (iv) the studies, which have used the sample provided by the Autism Genetic Resource Exchange (AGRE) (Buxbaum et al. 2001; Liu et al. 2001; Alarcon et al. 2002; Yonan et al. 2003; Buxbaum et al. 2004; Alarcon et al. 2005; Cantor et al. 2005). Thus, linkage evidence from these studies should not be considered as independent. The sample sizes have ranged from 51 families and 100 affected individuals in the study by the Paris Autism Research International Sibpair Study (Philippe et al. 1999) to 345 families with 732 affected individuals in a study by Yonan and colleagues (2003). Study samples have consisted mostly of Caucasian families, but commonly a small proportion of families with different ethnic origin has also been included. Generally, non-parametric linkage methods, especially sib-pair methods, have been favoured. The published linkage studies in autism have been listed in Table 4. The most promising findings have been summarized in brief below.

Table 4. Full-genome scans in autism. The three best loci from each scan are presented (given that MLS > 1.5). See text for further details.

Study	Sample size	Ethnicity	Phenotypes	Best loci	Maximum LOD score	Statistics
IMGSAC 1998	87 ASP	100% Caucasian	autism, AS, PDD	7q32-34	2.53	ASPEX
				4p16	1.55	Genehunter
				16p13	1.51	
Barrett et al. 1999	75 ASP	95% Caucasian	autism	13q22	3.0	ASP/MLINK
				13q12	2.3	
				7q21	2.2	
Philippe et al. 1999	51 ASP	100% Caucasian	autism	6q13	2.23	MAPMAKER/SIBS
Risch et al. 1999	139 families	88% Caucasian	autism	1p13.2	2.15	ASPEX
Buxbaum et al. 2001	95 families	not stated	autism, borderline	2p32	2.39	Genehunter NPL/HLOD
			autism, AS	9q34	1.72	
IMGSAC 2001a/	152 ASP	97% Caucasian	autism, AS, PDD-NOS	2q13	3.74	ASPEX
IMGSAC 2001b	(of which 83 analyzed		atypical autism	7q22	3.2	
	in IMGSAC 1998)			16p13	2.93	
				17q11	2.34	
Liu et al. 2001	110 families	mixed USA	autism, AS; PDD	Xq25	2.67	MAPMAKER/SIBS
				5p13	2.55	
				19p13	2.53	
Shao et al. 2002	99 families	79% Caucasian	autism	Xq21	2.54	ASPEX
				3p25	2.02	Parametric MLOD
				7q34	1.66	

Table 4 continued

Study	Sample size	Ethnicity	Phenotypes	Best loci	Maximum LOD score	Statistics
Yonan et al. 2003	345 families	mixed USA	autism, NQA, PDD	17q11	2.83	MAPMAKER/SIBS
				5p13	2.54	
				11p11-13	2.24	
Cantor et al. 2005	109 ASP	mixed USA	autism, NQA, PDD	17q21	1.90	MAPMAKER/SIBS
				3p13	1.80	

Chromosome 2. In the follow-up study of their original genome-wide scan, IMGSAC reported the highest non-parametric multipoint MLS of 4.80 at 2q31 with the strict diagnostic criteria for autism (IMGSAC 1998; IMGSAC 2001b). The best linkage evidence in the study by Buxbaum and colleagues (2001) was reported for 2q32, only some 5 cM distally to the finding by IMGSAC. The highest non-parametric MLS was 2.39 (Buxbaum et al. 2001). Also, Shao and colleagues (2002b) have reported modest linkage evidence for the long arm of chromosome 2.

Chromosome 5. Liu and colleagues (2001) reported their best locus at 5p13 with MLS of 2.55 in the initial analyses of the AGRE families. This region remained among the best loci in the follow-up study of the increased sample of 345 families with MLS 2.55 (Yonan et al. 2003). Also, Buxbaum and colleagues (2001) have reported modest linkage evidence in the vicinity (5p15) with MLS 1.65, but it should be borne in mind that AGRE families were also included in this study.

Chromosome 7. Linkage for the long arm of chromosome 7 was initially reported by IMGSAC (IMGSAC 1998) in 87 autism families with a multipoint MLS 2.53 at 7q32-7q34. Their follow-up analysis with a total of 170 multiplex families resulted in the highest multipoint MLS of 3.37 at 7q22, some 25 cM proximally to the original finding (IMGSAC 2001a). In addition, the studies by Barrett and colleagues (1999) (MLS = 2.2), Buxbaum and colleagues (2001) (MLS = 1.53), and Shao and colleagues (2002b) (MLS = 1.38) have reported modest evidence for linkage within the 7q21-34 region, which is currently denoted as autism susceptibility locus 1 (*AUTS1*).

Chromosome 17. The best locus in the analysis of the AGRE sample was observed at 17q11 with an MLS of 2.83 (Yonan et al. 2003). It was later suggested that this result was mainly due to increased sharing in male ASPs (Stone et al. 2004). This finding was later replicated in a subsequently collected sample of 91 AGRE families (Cantor et al. 2005). Interestingly, also the second largest family material by IMGSAC provided suggestive linkage evidence for this region with an MLS of 2.34 (IMGSAC 2001b). The

best marker in the IMGSAC study was intragenic for the serotonin transporter gene *SLC6A4*, which is considered as a strong functional candidate for autism (see, 2.3.5).

Chromosome X. Both family and cytogenetic studies indicate that the X-chromosome might be involved in the aetiology of autism. Surprisingly, there are only two studies, which have reported linkage for chromosome X. Liu and colleagues (2001) reported an MLS of 2.56 at Xq25 (Liu et al. 2001). However, decreased linkage evidence (MLS < 2) for this locus was reported in a follow-up study (Yonan et al. 2003). Shao and colleagues (2002b) reported an MLS of 2.52 at Xq21, which is located 20 cM proximally to the peak by Liu and colleagues.

Other chromosomes. Many of the loci that were among the best loci in independent studies have not been replicated by analyses of different samples. Risch and colleagues (1999) reported the highest LOD score in their sample for chromosome 1p13 with MLS of 2.15. This was the only locus that exceeded the MLS of 1.5 in their study (Risch et al. 1999). The best autosomal locus in the genome-wide scan by Shao and colleagues (2002b) was observed at 3p25 with MLS of 2.02. The best locus in the genome-wide scan by Philippe and colleagues (1999) was observed at 6q16 with MLS of 2.23. Barrett and colleagues (1999) found the highest MLS of 3.0 at 13q22. They also reported a second peak on chromosome 13 (13q12) with MLS 2.3 at 13q12 (Barrett et al. 1999). Finally, the third highest peak in the IMGSAC sample was observed at 16p13 with MLS of 2.92 (IMGSAC 2001b). Also the first analysis of AGRE sample supported this finding, but only marginal evidence for linkage was observed in the follow-up analysis of 345 families (Liu et al. 2001; Yonan et al. 2003).

Subgroup analyses. Re-analyses of the genome scan data have been performed by dividing the samples into component phenotypes in attempts to improve sample homogeneity. Although potentially helpful, the main disadvantage of this approach is that subgroup division necessarily compromises the sample size and thus the overall power to detect susceptibility loci. The primary sub-grouping considered to date has

been phrase speech delay (PSD) past 36 months, which occurs in about 50% of autistic disorder sibling pairs (Veenstra-VanderWeele and Cook 2004). Bradford and colleagues (2001) considered families with PSD (n_{families}=50) from the genome scan sample originally reported by Barrett and colleagues (1999). They showed that the linkage evidence obtained for the 7q21 and 13q22 were mainly attributable to the PSD group (Bradford et al. 2001). Buxbaum and colleagues (2001) incorporated analyses of PSD subgroup (n_{families}=49) in their genome-wide scan and observed increased linkage evidence at 2q32 with an NPL score of 3.32. Also Shao and colleagues (2002a) found increased evidence for linkage at 2q33 when the analyses were restricted only to families with PSD (n_{families}=45). The highest multipoint LOD score of 2.86 was observed some 20 cM distally to the best marker in a study by Buxbaum and colleagues. Buxbaum et al. (2004) also analyzed a subset of autism families having obsessive-compulsive behaviours (n_{families}=62). They reported the best linkage evidence at 1q42 with an NPL score of 3.06, along with other putative loci at 6q14 and 19p13. Some of the regional subgroup analyses have provided increased linkage evidence for the 15q11-13 locus. Shao et al. (2003) showed that the LOD scores increased from 1.45 to 4.71 at the GABRB3 locus, when analyses were restricted to a subset of 23 families with high scores in the insistence of sameness factor. Nurmi and colleagues (2003b), by contrast, showed increased LOD scores for this region when a subgroup of 21 families with savant skills was analyzed.

QTL analyses. Alarcon and colleagues (2002) used 152 families from the AGRE sample (Geschwind et al. 2001) and considered three quantitative measures from the Autism Diagnostic Interview – Revised (ADI-R) screening questionnaire (Lord et al. 1994): age at first word, age at first phrase, and repetitive and stereotyped behaviour. The best linkage evidence was observed at 7q34-36 when age at first word was used as the quantitative trait (p=0.002). This locus is located somewhat (3-38 cM) distally to the best markers of the AUTS1 locus reported in the IMGSAC sample (IMGSAC 1998; IMGSAC 2001a). Some evidence for repetitive and stereotyped behaviour was also observed at the same region (p=0.007) (Alarcon et al. 2002). In an expanded sample of a

total of 291 families, Alarcon and colleagues (2005) reported the highest peaks for age at first word for 3q (p<0.001) and 17q (p=0.002).

Summary of linkage analyses. It is clear from the review above that there is no consistent linkage evidence across the studies for any chromosomal region. Another striking observation is that only one study reported LOD scores exceeding the proposed threshold for significant linkage regardless of the fact that the aetiology of autism is thought to have a remarkably strong genetic influence (IMGSAC 2001b). Such observations are thought to reflect truly extensive genetic heterogeneity of the disorder, but also methodological differences between the studies. It is encouraging, however, that the two largest data sets provided evidence for linkage at 17q11-12, which might indicate that the reliability of the analyses increases when larger samples are employed (IMGSAC 2001b; Yonan et al. 2003; Cantor et al. 2005). Putative overlapping linkage findings have been reported also for 7q and 2q. However, even if some of the findings most probably reflect true genetic susceptibility factors for autism, it will be difficult to restrict the critical susceptibility locus, especially when obvious functional candidate genes for autism do not exist.

2.3.5 Candidate genes

The list of positional or hypothesis-driven candidates that have been analyzed in autism is exhausting. Commonly, both positive and negative associations have been reported, which is characteristic for all candidate gene studies in complex neuropsychiatric disorders. Some of the most commonly analyzed candidates or genes with promising findings have been reviewed as examples below.

SLC6A4/5-HTT. 5-hydroxytryptamine transporter gene (*5-HTT*; solute carrier family 6 (neurotransmitter transporter, serotonin) member 4, *SLC6A4*) is probably one of the most intensively studies candidates in neuropsychiatric diseases. The 5-HTT is a target of selective serotonin reuptake-inhibitor (SSRI) drugs that are effective in treating anxiety,

depression, obsessive-compulsive behaviours, and also autistic behaviour (Glatt and Freimer 2002; Hollander et al. 2003b). Increased platelet and whole-blood serotonin levels have been reported approximately in one third of autistic patients and it has been suggested that this increase might be genetically determined (e.g. Abramson et al. 1989; Leventhal et al. 1990; Piven et al. 1991; Leboyer et al. 1999). It has therefore been hypothesized that the aetiology of autism could also be connected to the serotonergic system.

The *SLC6A4* gene has two functional variants, insertion/deletion polymorphism in the promoter region (5-HTT gene-liked promoter region, *5-HTTLPR*) and VNTR in the second intron, which have been primarily considered in the candidate gene analyses of neuropsychiatric diseases. Evidence for association between *SLC6A4* and autism was initially proposed by Cook and colleagues (1997), who reported preferential transmission of a short variant of the *5-HTTLPR* in a sample of 86 trios of mostly Caucasian origin. Two subsequent studies have reported preferential transmission of the short allele (Conroy et al. 2004; McCauley et al. 2004b), whereas two other studies have reported preferential transmission of the long allele instead of the short variant (Klauck et al. 1997; Yirmiya et al. 2001). Most of the studies, however, have failed to show an association between 5-HTT variants and autism (Maestrini et al. 1999; Zhong et al. 1999; Persico et al. 2002; Tordjman et al. 2001; Betancur et al. 2002; Kim et al. 2002a; Persico et al. 2002; Coutinho et al. 2004; Mulder et al. 2005). Also, haplotype analyses have revealed contradictory results (Cook et al. 1997; Betancur et al. 2002; Kim et al. 2002a; Conroy et al. 2004; McCauley et al. 2004b).

Recently, several studies have tested if the *SLC6A4* promoter polymorphisms are associated with the blood or platelet 5-HT levels in families with autism. Like in the other analyses concerning *SLC6A4* variants and autism, the conclusions have ranged from modest or a lack of association to significant contribution of the *SLC6A4* to the 5-HT levels (Anderson et al. 2002; Betancur et al. 2002; Persico et al. 2002; Coutinho et al. 2004). Furthermore, some authors have tested whether *5-HTTLPR* polymorphisms

could be associated with rigid-compulsive behaviours in individuals with ASDs but, again, with conflicting results (McCauley et al. 2004b; Mulder et al. 2005).

The analyses of *SLC6A4* variants in autism provide a typical example of candidate gene analyses in complex neuropsychiatric diseases, in which it is often difficult to interpret the results across the studies. The reported associations have generally been modest and, noteworthy, multiple-testing corrected p-values were reported in none of the studies. Furthermore, only few studies have considered more than two polymorphism or LD across the *SLC6A4* gene (Kim et al. 2002a; Conroy et al. 2004). Finally, the sample sizes have generally been modest ranging from 35 (Yirmiya et al. 2001) to 134 (Persico et al. 2002) families of mixed origin, indicating that most of the studies have been underpowered. It is therefore evident that there is a high probability for both type I and II errors (Ott 2004). Taken together, there is currently no convincing evidence that *SLC6A4* variants could confer susceptibility to autism or have an effect on hyperserotonemia in families with autism.

Candidate genes on 7q. Numerous candidate genes have been studied at 7q based on the recurrent linkage findings for this chromosome in autism. *RELN* gene is located at 7q22.1 and it encodes a protein called reelin. Hypothesis about the involvement of reelin in the pathogenesis of autism have been based on the relevant cellular function, deficits of reelin protein in post-mortem brains of autistic subjects, and *reeler* mouse with deleted *RELN* gene showing similar structural brain abnormalities that has been observed in autistic subjects. In addition, RELN gene variants have shown putative association in several other neuropsychiatric diseases such as schizophrenia and bipolar disorder (Fatemi 2002; Fatemi 2004). So far, seven association studies have been performed to analyze the role of the *RELN* gene in autism. Most of the studies have focused on the polymorphic CGG repeat located at the 5'UTR region of *RELN* gene. Two of these studies have shown evidence for association (Persico et al. 2001; Skaar et al. 2004) at the CGG repeat, whereas the others (Krebs et al. 2002; Zhang et al. 2002; Bonora et al. 2003; Devlin et al. 2004; Li et al. 2004) have yielded negative results.

The *FOXP2* gene located at 7q31 is mutated in a monogenic severe speech and language disorder (MIM 602081) (Fisher et al. 1998; Lai et al. 2000; Lai et al. 2001). The rationale to analyze *FOXP2* in autism comes from its physical location at autism susceptibility locus and from the phenotypic overlap of developmental language disorder and autism (Folstein and Mankoski 2000). Although one report of nominally positive association exists (Gong et al. 2004), the sequence and association analyses have generally failed to support the involvement of this gene in the etiology of autism (Newbury et al. 2002; Wassink et al. 2002; Gauthier et al. 2003).

A homeobox transcription factor Engrailed2 (*En2*) is located close to the telomeric end of chromosome 7 at 7q36.3. The mouse mutants of *En2* have been reported to have similar cerebellar morphological abnormalities as autistic patients, and thus *En2* has been analyzed as a candidate for autism. Recently, Gharani and colleagues (2004) reported a relatively strong association between the intronic SNPs of En2 and autism. An earlier study by Petit and colleagues (1995) reported the association of one *En2* variant in a case-control study. On the other hand, Zhong and colleagues (2003) failed to demonstrate an association in their family-based association test.

Candidate genes on 15q11-13. The chromosome 15q11-13 has been a focus of several candidate gene studies based on the frequent chromosomal aberrations in this region in the autistic patients (see, 2.3.3) and also due to existence of relevant functional candidates in this chromosomal region. There are also some studies, which have reported modest evidence for linkage on this region (Bass et al. 2000; Nurmi et al. 2003b; Shao et al. 2003), but generally 15q11-13 has not been among the best loci in the genome-wide scans.

The most widely studied candidates have been members of the γ -aminobutyric acid (GABA_A) receptor gene cluster, which contains genes coding for the α 5, β 3 and γ 3 receptor units. GABA is the main inhibitory neurotransmitter in the human brain,

binding and acting through a complex series of GABA_A receptors. It is also of interest that the GABAergic system is involved in the development of epilepsy (Baulac et al. 2001; Wallace et al. 2001), which is observed in 30% of autistic patients (Gillberg and Billstedt 2000). As noted earlier, the Angelman syndrome gene, *UBE3A*, is also located within this locus. Yet another maternally imprinted gene located at 15q11-13, *ATP10C* (ATPase, Class V, type 10A), has been studied in autism.

Most of the studies at 15q11-13 have included the *GABRB3* gene, for which both positive (Cook et al. 1998; Buxbaum et al. 2002; McCauley et al. 2004a) and negative (Maestrini et al. 1999; Salmon et al. 1999; Martin et al. 2000; Menold et al. 2001) association findings have been reported. Some studies have reported nominal association evidence at *GABRG3* (Menold et al. 2001) or *GABRA5* (McCauley et al. 2004a). However, all the reported associations for the components of the GABA_A gene cluster have been modest. The association studies of the *UBE3A* and *ATP10C* loci have provided modest evidence for association in some studies (Nurmi et al. 2001; Nurmi et al. 2003a) but not in others (Kim et al. 2002b). Mutation analyses have failed to detect any pathogenic mutations in these genes (Veenstra-VanderWeele et al. 1999; Kim et al. 2002b).

Other relevant candidates. The best linkage evidence obtained in the French genome-wide scan for autism was observed at marker D6S283, which is intragenic for the glutamate receptor 6 gene (GluR6/GRIK2)(Philippe et al. 1999). Glutamate is the principal excitatory neurotransmitter in the brain and it is directly involved in cognitive functions. Therefore, glutamate receptors are candidates for several neuropsychatric diseases. A follow-up study of the genome-scan revealed increased IBD sharing of maternal alleles at the GRIK2 locus. Furthermore, a TDT analysis resulted in promising association at two GRIK2 variants. These associations were more pronounced when maternal transmission to male autistic subjects was tested suggesting an imprinting effect. Also, haplotype analysis with the two SNPs revealed a relatively strong association (Jamain et al. 2002). Modest association for this gene has been observed also

in the Chinese autistic trios (Shuang et al. 2004). More recently, evidence for association at *GRIN2A* gene (Glutamate receptor, ionotrophic, NMDA 2A) located on 16p13 was reported in the IMGSAC sample of 239 families and a replication set of 91 trios (Barnby et al. 2005).

Finally, Ramoz and colleagues (2004) performed mutation screening in the candidates at the 2q24-33 locus and identified two SNPs that showed relatively strong association with autism in a sample of 411 families. The identified SNPs are located within the *SLC25A12* gene, which encodes the mitochondrial aspartate/glutamate carrier (AGC1)(Ramoz et al. 2004). However, there are currently no published replication studies attempting to estimate the significance of this finding in independent samples.

3. AIMS OF THE PRESENT STUDY

This study aimed to dissect the genetic complexity of ASDs by following aims:

- To use genome-wide approach to localize genetic loci, which harbour susceptibility genes for autism spectrum disorders in a well-characterized set of Finnish families.
- 2) To perform a genome-wide scan in the Finnish families with clinically well defined Asperger syndrome.
- To perform combined data analysis of the genome-wide scans performed in the US and Finnish samples of autism families.
- 4) To analyse positional and hypothesis-driven candidate genes in Finnish families to assess their role in the genetic background of autism.

4. SUBJECTS AND METHODS

4.1 Laboratory procedures and analysis methods

Methods used in this study have been described in detail in the original articles (I-V) and listed in Table 5.

Table 5. Methods used in the present study.

Method	Original publication
Laboratory procedures	
DNA extraction	I, II, III, IV, V
Polymerase Chain Reaction (PCR)	I, II, III, IV, V
Agarose gel electrophoresis	III, IV
Sequencing	III, IV
Electrophoresis, ABI377/ABI3730	I, II, III, IV, V
Allele-specific primer extension – based microarray	IV
Sequenom MALDI-TOF mass spectrometry	V
Analysis programs	
Genescan 3.1	I, II
Genotyper 2.0	I, II
Genemapper 3.0	III, IV
SNPSnapper	IV
Pedcheck 1.1	I, II, III, IV, V
Sequencher 4.0.5	III, IV
Statistical methods	
Downfreq 2.1	I, II, III, V
MLINK/LINKAGE	I, II, IV
Homog 3.35	I, II, IV
Analyze	I, II, IV
Genehunter v.2.1_r3beta;v.1.3	I, II, III
Pseudomarker	III, IV

Method	Original publication
Simwalk 2.81	I
HRR	I
TDT-LRT	I
Gamete-competition	I
FBAT	IV, V
Dislamb	I
Haploview	IV
Genepop3.3; 3.4	I, V
Mendel 4.0	I

4.2 Study subjects

4.2.1 Finnish families with autism, AS and dysphasia (I, III, IV,V)

The family material used in the studies I, III, IV, and V was collected mainly via Helsinki University Hospital, Jyväskylä Central Hospital, and Kuopio University Hospital. These families included individuals diagnosed as having autism, AS, or developmental dysphasia. The diagnostic procedure used in the recruiting centres follows a highly uniform scheme. Initially, patients have been referred from outpatient clinic to the child neurological department for a two-week observation period involving a multidisciplinary battery of structured observations and tests. This period involves extensive diagnostic examinations, including neurological examinations, assessment of developmental history as well as psychological and neuropsychological evaluations using e.g. the Wechsler Intelligence Scale for Children – Revised (WISC-R) and Developmental Neuropsychological Assessment (NEPSY). Evaluations by speech pathologists, occupational therapists, and physiotherapists were performed using structured methods appropriate for the age and the developmental level of the child. Other specialists were consulted whenever it was considered necessary. During the

observation period, Childhood Autism Rating Scale (CARS) and the Psychoeducational Profile Revised (PEP-R) screening instruments were used to monitor autistic behavior and developmental profile. Both parents and a team of two nurses performed the CARS evaluation independently. Magnetic resonance imaging, electroencephalogram, cytogenetic screening, and a laboratory test package for detecting several metabolic and other disorders were routinely performed for all the patients. Families with associative medical conditions, such as fragile X syndrome, chromosomal aberrations, neurocutaneous syndromes, and profound mental retardation, were excluded from the sample. Particular attention was paid to the classification of the patients with developmental dysphasia. Reassessment was performed by a specialist for all the individuals diagnosed as having dysphasia. A total of 11/15 of patients with dysphasia had receptive language disorder (F80.2), which is similar to the DSM-IV diagnosis for mixed receptive-expressive language disorder. Three patients had the expressive type of dysphasia (F80.1) similar to the DSM-IV diagnosis for expressive language disorder. In one patient with dysphasia diagnosis, the specific diagnosis remained unspecified in the reassessment.

A stable team consisting of professionals with long experience carried out the diagnostic procedure and a consensus opinion of this team was required for the autism diagnosis. Finally, the diagnosis was set by a child neurologist in charge according to ICD-10 (World Health Organization 1993) and DSM-IV (American Psychiatric Association 1994) so that the criteria for both systems were fulfilled.

4.2.2 Finnish AS families (II)

Diagnostic examinations in the AS sample used in study II consisted of detailed, structured interview, which was based on the ICD-10 (World Health Organization 1993) and DSM-IV (American Psychiatric Association 1994) criteria for Asperger syndrome. In some cases, the Autism Spectrum Screening Questionnaire (ASSQ) (Gillberg and Gillberg 1989; Ehlers and Gillberg 1993; Ehlers et al. 1999) and the Asperger Syndrome

Diagnostic Interview (ASDI) (Gillberg et al. 2001) was used to collect additional information. Neuropediatricians or research nurses with long experience of ASDs conducted all the interviews. All the interviews performed by a research nurse were further evaluated by a neuropediatrician. Structured interviews with one or several family members were used to collect reliable anamnestic information of adults included in the sample. The diagnostic interview also included questions on hypersensitivity to external stimuli, face recognition difficulties (prosopagnosia), motor clumsiness and sleeping and eating disorders, which are not diagnostic criteria but have been reported to be frequently present in individuals with AS (Nieminen-von Wendt 2004; Nieminen-von Wendt et al. 2005).

4.2.3 Autism Genetic Resource Exchange (AGRE) families (IV)

The Autism Genetic Resource Exchange (AGRE) is a large central repository of DNA samples for genetic studies of autism. Families are recruited through a variety of methods, including physician referral, Web site contact, and family meetings and seminars (Geschwind et al. 2001; Liu et al. 2001; Yonan et al. 2003). The diagnostic assessment in the AGRE sample is based on the Autism Diagnostic Interview-Revised (ADI-R) (Lord et al. 1994), which is based on the classifications of the ICD-10 (World Health Organization 1993) and DSM-IV (American Psychiatric Association 1994). To be scored as affected, individuals must meet criteria in all three content areas of the ADI-R, including (i) quality of social interaction, (ii) repetitive, restricted and stereotyped interests and behaviour, and (iii) age at onset <3 years (Lord et al. 1994). AGRE family collection included three diagnostic classes based on the ADI-R questionnaire: Individuals that met the criteria for the ADI-R were considered to be in the "narrow" autism category, the "not quite autism" (NQA) category includes individuals who are no more than one point away from meeting criteria in all domains but do not meet age-atonset criteria, and, lastly, individuals who might be considered as having AS or pervasive developmental disorder-not otherwise specified (PDD-NOS) were included in the "spectrum" category.

4.2.4 Diagnostic classes and sample sizes

Three liability classes were generated for the sample of ASDs. The first class (LC1) included individuals with strictly defined autism, the second class (LC2) had also individuals with AS, and the third class (LC3) included family members with dysphasia as affected (studies I, IV, V). In studies III, IV and V, only families with at least one individual fulfilling the criteria for LC1 were selected for the analyses, whereas in study I some families with only broader classification (LC2 and LC3) were also included. The association analyses performed in studies IV and V were performed in a sample of 100 families with 122 affected individuals in LC1.

In the AS sample (study II), the first liability class (LC1) included individuals fulfilling the strict criteria for AS according to ICD-10. Family members who had AS-like features but who failed to meet one of the required criteria for diagnosis were included as affected individuals in a broad phenotypic category (LC2).

For study III, we generated three new liability classes. The first liability class (LC1) was restricted only to individuals with a diagnosis of narrow autism in both samples. In the second LC (LC2), also individuals with NQA from the AGRE sample were assigned as affected, and the third liability class (LC3) included a broad spectrum of autistic disorders from both samples. The individuals diagnosed as having AS (LC2 in study I) in the Finnish sample were included in the LC3. Description of sample sizes in different diagnostic classes is shown in Table 6.

Table 6. Description of families used in studies I-V. The numbers refer to the total sample. The number of families and affected individuals in the primary scans are shown in parentheses.

Study	Liability Class	Families	Affected Individuals
I	1	18 (12)	39 (27)
	2	28 (18)	72 (41)
	3	38 (19)	87 (47)
II	1	17 (13)	72 (62)
	2	17 (13)	82 (66)
$\mathrm{III}^{\mathrm{a}}$	1 (AGRE)	188	402
	2 (AGRE)	218	468
	3 (AGRE)	288	621
	1 (Finnish)	18	38
	2 (Finnish)	18	38
	3 (Finnish)	26	55
	1 (Combined)	206	438
	2 (Combined)	236	504
	3 (Combined)	314	676
IV^b	1	19	41
	2	26	56
	3	33	74
IV, V (association analyses) ^c	1	100	122

a) For a detailed sample description of the first stage, see the study III. Note that one individual included in LC1 in study I was changed as unknown.

b) Only families with at least one individual fulfilling the criteria for LC1 in the study I were included. One novel family with three individuals in LC1 was included. Furthermore, one individual included in LC1 and one in LC2 in the study I were changed as unknown.

c) Association analyses in studies IV and V included the 33 families used in linkage analysis of the study IV and 67 additional families with single affected (LC1). Only individuals fulfilling criteria for LC1 were assigned as affected in the analyses resulting in a total number of 122 affected individuals in LC1.

5. RESULTS AND DISCUSSION

5.1 Genome-wide scan of ASDs in the Finnish population (I) 5.1.1 Primary scan

We first performed a genome-wide scan in 38 Finnish families to identify genetic susceptibility loci for ASDs. The primary scan involved a genome-wide scan of 369 microsatellites in a sample of 19 families with a total of 47 affected individuals. In the linkage analyses, nine regions on chromosomes 1, 3p, 3q, 9, 12, 14, 17, 18, and 21 yielded in $Z_{\text{max rec}} > 1.0$ with the strict diagnostic criteria (Table 7). These loci were selected for follow-up analyses in the second stage. In addition to loci identified using strict diagnostic classification (LC1), some evidence for chromosome Xq13 was observed by using the broadest diagnostic classification (LC3). The best evidence was observed at DXS7132 in both two-point ($Z_{\text{max dom}}$ =1.89, LC3) and multipoint (NPL_{all}=2.75, p=0.0027, LC3) analyses.

5.1.2 Fine-mapping

In the second stage, the nine selected chromosomal regions were analysed further by an additional set of 60 markers. A total of 19 additional families with autism, AS, or dysphasia were included in this stage. The best linkage evidence was observed for 3q25-27. The highest two-point LOD score was observed at D3S3037 ($Z_{max \ dom}$ =4.31, LC2) and the highest parametric multipoint LOD score of 4.81 (LC2, dominant model) was observed at the same marker. Some supporting evidence for this locus was obtained from haplotype and association analyses (see, study I). Several markers genotyped at 1p13-1q23 yielded $Z_{max} > 1.0$. The best two-point LOD score was observed at D1S1675 ($Z_{max \ dom}$ =2.63, LC2), whereas in the multipoint analysis the highest LOD score of 2.63 (LC1, dominant model) was observed near D1S1653 located some 13 cM distally to D1S1675. Analyses at other fine mapping loci resulted in highly suggestive LOD scores. In addition to findings on 1p13-q23 and 3q25-27, LOD scores > 1.5 were observed only at 3p24, 14q12, and 17q25.

Table 7. The highest two-point LOD scores LC1 in the primary scan. The LOD scores are maximized over recombination fractions (θ) ranging from 0 to 0.5. The α -value ranging from 0 to 1.0 indicates the proportion of linked families.

Marker	Position (cM)	Model	$Z_{\scriptscriptstyle max}$	θ-value	α-value
D1S1675	149	Dom	1.11	0.00	1.00
D3S3038	45	Dom	1.67	0.00	0.77
D3S4009	137	Rec	1.60	0.00	0.59
D3S3554	153	Dom	1.90	0.00	1.00
D3S3053	182	Rec	1.10	0.04	0.65
D3S2427	188	Dom	1.77	0.00	1.00
D3S2418	216	Rec	1.40	0.12	1.00
D9S158	162	Rec	1.62	0.00	0.64
D12S2078	150	Dom	1.30	0.00	1.00
D14S297	32	Dom	1.55	0.00	1.00
D17S784	117	Rec	1.43	0.04	0.51
D18S59	0	Rec	1.22	0.00	0.40
D21S1440	36	Rec	1.29	0.00	0.44

5.1.3 Discussion of autism genome-wide scan

In study I, we identified a major susceptibility locus for ASDs on 3q25-27 with convincing linkage evidence. This finding was supported by putative haplotype sharing of two families known to be related and by modest association findings using family-based tests (study I). Generally, the 3q25-27 region has not been among the most promising regions in the autism scans (see, 2.3.4), which suggests that different autism predisposing alleles may have enriched in the Finnish population due to founder effect, genetic drift and isolation (Peltonen et al. 1999). The second best locus was identified on

1p13-q23. In contrast to the 3q locus, some evidence for the nearby region has earlier been reported by Risch and colleagues (1999), and more recently by Bartlett and colleagues (2005). The main shortcomings of this study are the small sample size and large number of statistical tests. Although no permutation analyses were performed, it is clear that with the current sample size there is a high risk for type I and II errors. Despite these limitations, the solid linkage findings for 3q25-27 and 1p13-q23 provide excellent starting point for the follow-up studies.

5.2 Genome-wide scan of AS (II)

Most of the genome-wide scans in ASDs have focused on families, which are ascertained through a proband with childhood autism and other ASDs exist only in the probands' siblings. In the sample of Finnish families with ASDs we identified a group of families with AS, which were distinguishable from the families used in study I. First, AS was present without other ASDs. Second, the risk in the proband's relatives seemed to be much higher than the risk in the families ascertained through a proband with childhood autism. Finally, a seemingly dominant mode of inheritance of AS was detected. Thus, these 17 families were selected as a separate group for the second genome-wide scan in study II. The pedigree structures are shown in Figure 7.

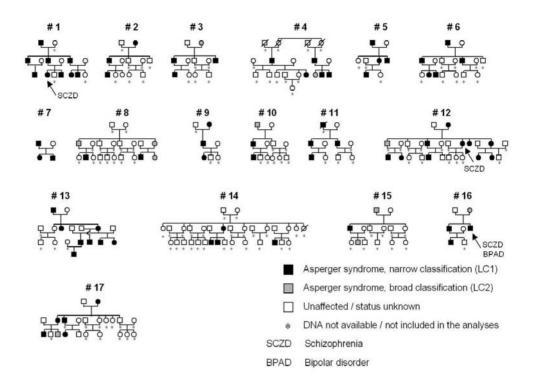


Figure 7. Pedigree structures for the 17 families in the AS genome-wide scan (II). Families 3, 7, 8, and 15 were included only in the second stage of the study.

5.2.1 Primary scan

A genome-wide scan using a total of 415 microsatellites was performed in 13 multigenerational AS families. In the primary scan, we covered the loci at 1q21-23, 2q31-32, 3q25-27, 6q16, 7q, 13q12-22, and 16p13 with increased marker density based on the linkage findings from the earlier autism genome-wide scans. The two-point LOD scores were calculated by using the two liability classes and both dominant and recessive models. A total of 13 markers exceeded two-point LOD score of 1.5 in the primary scan. The best two-point LOD score was observed at 1q23.3 with D1S484 ($Z_{max\ dom}$ =3.17).

Also, three other markers, D1S1653, D1S2705, and D1S1677, spanning 12 cM exceeded the two-point LOD score of 1.5 in this region. Other loci with LOD scores > 1.5 were identified at 3p24.1, 3q28, 4p15.1, 4q32.3, 6p25.1, 6q16.1-q16.3, 13q32.1, and 18p11.32, as shown in Table 8. These loci were selected for additional analyses in the fine mapping stage.

5.2.2 Fine mapping

In the second stage, the best loci observed in the first stage were analysed by using the complete sample of 17 AS families. We added 22 new markers at these loci, the total number of markers being 54 with an average intermarker distance of 3.2 cM. Altogether, 8 out of 20 microsatellites spanning 19.7 cM at 1q21-23 resulted in the twopoint LOD score > 1.0, the best marker being D1S484 ($Z_{max dom}$ =3.58, LC1). The highest multipoint NPL_{all} score at this locus was 1.33 (p=0.044, LC1). Eight markers covering 31 cM were analysed at 3p14-24. The best marker was D3S2432 (Z_{max dom}=2.50, LC1), but three other markers at this region also exceeded the LOD score of 1.0. The results from the multipoint analyses were consistent with the two-point results, the highest NPLall score being 3.32 (p=0.0029, LC1) at D3S2432. Two out of six markers genotyped at 13q31-33 exceeded the two-point LOD score of 1.0 in the second stage (D13S793, Z_{max} dom=1.59, LC2; D13S1271, Z_{max dom}=1.16, LC1). Increased linkage evidence was observed in the multipoint analyses with the NPL_{all} of 2.86 (p=0.0025, LC1). Generally decreased LOD scores were observed for all the other loci included in the second stage analyses. However, markers with LOD scores > 1.0 were identified at all the fine mapped loci excluding 6p25.1 and 18p11.32, as shown in Table 8.

Table 8. The highest two-point LOD scores from stages I and II of study II. The markers with $Z_{\text{max}} > 1.5$ are presented.

Marker Position			Stage I			Stage II			
	(cM)	LC	Model	Zmax	LC	Model	Zmax		
D1S2715	159	-	-	-	1	Dom	1.75		
D1S2721	161	-	-	-	1	Dom	2.88		
D1S1653	164	1	Dom	2.47	1	Dom	1.53		
D1S484	170	1	Dom	3.17	1	Dom	3.58		
D1S2705	171	1	Dom	1.85	2	Dom	1.97		
APOA2	171	-	-	-	1	Dom	2.75		
D1S1677	176	2	Dom	1.78	2	Dom	1.21		
D3S2432	58	1	Dom	1.84	1	Dom	2.50		
D3S3685	68	-	-	-	1	Dom	1.54		
D3S2398	209	1	Rec	1.72	1	Rec	1.42		
D4S2408	46	2	Rec	1.99	2	Rec	1.04		
D4S2368	168	1	Dom	2.23	1	Dom	2.82		
D6S1668	9	1	Rec	1.66	1	Rec	0.85		
D6S1056	103	2	Dom	1.66	2	Dom	1.19		
D6S1671	108	2	Dom	1.73	2	Dom	1.07		
D13S793	76	1	Dom	1.74	2	Dom	1.59		
D18S59	0	1	Rec	1.71	1	Rec	0.98		

5.2.3 Discussion of the AS genome-wide scan

The most solid linkage evidence in the AS sample was observed at 3p14-24. Some earlier evidence for the nearby region was also observed in study I, in which two-point LOD score of 1.72 was observed with broad diagnostic classification (LC3) at D3S3659. This marker is located some 10 cM distally to the best marker D3S2432 in the AS sample. In their genome-wide scan of autism, Shao and colleagues (2002b) observed two-point LOD score 2.02 at D3S3680, which is located 22 cM distally to D3S2432.

The results at 1q21-23 are much more difficult to interpret. Some of the markers yielded promising results in the two-point analyses, but the multipoint evidence was not compelling. Multipoint analyses are sensitive to incorrect marker ordering or small number of genotyping errors. On the other hand, two-point analyses may fail to recognize all the recombinations due to missing genotype data, which may lead to false positive peaks at some individual markers. It is interesting, however, that this locus was among the best loci also in study I. This locus has also been the subject of intensive study in schizophrenia based on promising linkage findings (Brzustowicz et al. 2000; Gurling et al. 2001). In schizophrenia samples, some association evidence has been reported for *RGS4* and *CAPON* genes, which are both located at 1q23.3 (Chowdari et al. 2002; Brzustowicz et al. 2004).

Yet another putative susceptibility locus for AS was observed at 13q31-33. This locus has been among the best loci in schizophrenia studies, as it has also been in studies of bipolar disorder (Blouin et al. 1998; Brzustowicz et al. 1999; Detera-Wadleigh et al. 1999; Kelsoe et al. 2001; Badner and Gershon 2002). In the regional candidate gene analyses, the locus for the *G72/G30* gene at 13q33.2 has been reported to be associated with both schizophrenia and bipolar disorder (Chumakov et al. 2002; Hattori et al. 2003). Some shared features for some schizophrenia spectrum disorders and AS exist and some association between bipolar disorder and AS have also been suggested (DeLong and Dwyer 1988; Gillberg and Billstedt 2000; Wolff 2000). In our AS sample, three

individuals had an overlapping diagnosis of schizophrenia and one individual had bipolar disorder. Many family studies have found increased risk of depression, bipolar disorder and schizophrenia spectrum disorders in the relatives of patients with AS (DeLong and Dwyer 1988; Ghaziuddin 2005). Furthermore, one large-scale epidemiological study reported that risk for ASDs was markedly increased in children with a parental history schizophrenia-like psychosis or affective disorder (Larsson et al. 2005). It is therefore possible that some trait components with common genetic origin might be shared across different diagnostic groups. However, it is equally true that many studies have found only little overlap of ASDs and schizophrenia (Volkmar and Cohen 1991; Gillberg & Billstedt 2000; Cederlund and Gillberg 2004).

Taken together, the two genome-wide scans performed in studies I and II revealed a putative shared susceptibility locus at 1q21-23. Furthermore, in study I some linkage evidence emerged for the best locus in AS sample at 3p14-24. Similarly, some linkage evidence for the best locus at 3q25-27 in study I was observed also in the AS sample (Table 8). The shared loci with the linkage studies of other neuropsychiatric disorders at 1q21-23 and 13q31-33 might suggest the possibility of shared aetiological factors, but extensive studies are needed either to prove or disapprove these hypotheses.

5.3 Combined data analysis for autism loci (III)

The credibility of a linkage signal must be confirmed by an independent replication. In study III, we aimed (i) to pool raw genotype data from the Autism Genetic Resource Exchange (AGRE) and Finnish autism samples (study I) to reveal potential susceptibility loci common for both study samples, (ii) to analyze the best loci obtained in the AGRE sample in the study sample ascertained from the Finnish population, and (iii) to analyze the best loci that emerged from the Finnish sample in the AGRE families. This study design has a potential to confirm evidence for earlier identified susceptibility loci, but it could also identify novel loci for which the genetic effect is too small to be detected in a

single study, as exemplified elsewhere (Cavanaugh 2001; Demenais et al. 2003; Pajukanta et al. 2003).

5.3.1. Initial combined data analysis

As the first stage, we combined raw genotype data from study I and from the study by Yonan and colleagues (Yonan et al. 2003). A total of 572 markers were included in the combined data set, of which 265 (46%) were common to both genome scans. Markers on nine loci (1p12-q25, 3p24-26, 4q21-31, 5p15-q12, 6q14-21, 7q33-36, 8q22-24, 17p12-q21 and 19p13-q13) exceeded the NPL_{all} score of 1.64 (p<0.05) in the initial combined data analysis as shown in Table 9. In three of these, 1p12-q25, 3p24-26, 6q14-21, both datasets contributed to the linkage, whereas at the other loci linkage evidence emerged mainly from one data set only. On chromosome 1q, we observed the highest NPL_{all} score of 2.25 (p=0.012) close to D1S1677 (LC3). On chromosome 3p, the best linkage evidence in the combined sample was detected at D3S2403 with NPL_{all} of 2.10 (p=0.014, LC2). Finally, on 6q the overlapping linkage signals in the AGRE and Finnish samples produced increased linkage evidence at D6S1021 (NPL_{all} = 2.47, p=0.0046, LC1).

Table 9. Results from combined data analysis. The multipoint NPL_{all} scores > 1.64 (p<0.05) in the initial combined data analysis and the corresponding NPL_{all} scores from separate data sets are presented. Results from the second stage are shown where available. Marker positions from pter (in cM) are from the Marshfield genetic map.

		Combined			AGRE			Finnish					
Locus (stage)	LC	Location (cM)	Marker	NPL _{all}	p-value	Location (cM)	Marker	$\mathrm{NPL}_{\mathrm{all}}$	p-value	Location (cM)	Marker	$\mathbf{NPL}_{\text{all}}$	p-value
		1.7	D464455			4=4	D404455		0.040	1.60	D.1.00551		0.012
1p12-q25(I) 1p12-q25(II)	3	176 176	D1S1677 D1S1677	2.25 1.82	0.012 0.033	176 176	D1S1677 D1S1677	1.66 1.33	0.048 0.088	169 169	D1S2771 D1S2771	2.27 2.27	0.013 0.013
3p24-26 (I)	2	29	D3S3691	2.10	0.033	29	D3S3691	1.79	0.032	37	D3S2403	1.72	0.013
3p24-26 (II)	2	29	D3S3691	2.20	0.011	29	D3S3691	1.79	0.032	37	D3S2403	1.62	0.028
4q21-31 (I)	3	107	D4S1591	2.53	0.005	107	D4S1591	2.67	0.003			NS	
5p15-q12 (I)	3	57	D5S1490	1.82	0.032	57	D5S1490	2.04	0.019	19	D5S807	2.09	0.02
6q14-21 (I)	1	112	D6S1021	2.47	0.0046	101	D6S1056	2.04	0.017	112	D6S1021	1.51	0.038
6q14-21 (II)	1	112	D6S1021	2.05	0.014	101	D6S1056	2.04	0.017	112	D6S1021	0.57	0.222
7q33-36 (I)	2	165	D7S483	2.31	0.008	165	D7S483	2.33	0.008			NS	
8q22-24 (I)	3	132	D8S1832	2.07	0.018	132	D8S1832	2.2o	0.012			NS	
17p12-q21 (I)	3	50	D17S1294	2.39	0.007	50	D17S1294	2.71	0.0028	62	D17S1299	0.32	0.369
17p12-q21 (II)	3	50	D17S1294	2.38	0.0076	50	D17S1294	2.71	0.0028	62	D17S1299	0.54	0.287
19p13-q13 (I)	1	42	D19S714	1.77	0.032	42	D19S714	1.78	0.033			NS	

5.3.2. Follow-up analyses on chromosomes 1, 3, 6 and 17

In the follow-up stage, we analyzed additional markers on 1p12-q25, 3p24-26 and 6q14-21, where overlapping linkage peaks were observed in the first stage. Complete samples from both data sets were included at these loci. After follow-up analyses, the most promising overlapping linkage signal was observed at 3p24-26 with NPL_{all} of 2.20 (p=0.011, LC2) at D3S3691 in the combined sample. The best NPL_{all} scores for this locus in the separate analyses of Finnish and AGRE sample were 1.62 (p=0.028) at D3S2403 and 1.79 (p=0.032) at D3S3691, respectively. For the 1p12-q25 locus, slightly decreased linkage evidence was obtained in the second stage. In the combined sample, the best linkage evidence was observed at D1S1677 (NPL_{all} = 1.82, p=0.033, LC3). The best findings in the individual samples were observed at D1S1677 (NPL_{all} = 1.33, p=0.088) and D1S2771 (NPL_{all} = 2.27, p=0.013) in the AGRE and Finnish samples, respectively. Analysing of the complete Finnish sample at 6q14-21 resulted in decreased linkage evidence compared to the initial stage (D6S1056, NPL_{all}=0.57, p=0.222, LC1). Therefore, the highest NPL_{all} score of 2.05 (p=0.014) in the combined sample is mainly contributed by AGRE families.

The best loci observed in the separate analyses of Finnish and AGRE samples were 3q26-28 and 17p12-21, respectively. Therefore, we analyzed the 3q26-28 locus in the complete sample of AGRE families and the 17p12-q21 locus in the complete sample of Finnish families. At 3q25-27, the AGRE data set produced the highest linkage evidence at D3S1763 (NPL_{all} = 1.30, p=0.089, LC1). With this same diagnostic classification, the Finnish sample produced the highest NPL_{all} of 2.53 (p=0.0014) close to D3S3037, which is located around 14 cM distally to D3S1763. This suggests the potential overlap of the peaks identified in two samples. Using the narrow disease classification, the best linkage evidence in the combined sample was NPL_{all} of 1.88 (p=0.024) at D3S1763. In the current analysis, the strongest linkage evidence in the Finnish sample was observed at D3S3037 (NPL_{all} = 3.37, p=0.00059) using a broad classification.

Consistently with the first stage analysis, no support for linkage at 17p12-q21 locus emerged from the Finnish data set after analyzing the complete sample at this locus in the second stage (D17S1299, NPL_{all} = 0.54, p=0.287, LC3). The best linkage evidence in both AGRE and combined samples were observed at marker D17S1294 with NPL_{all} of 2.71 (p=0.0028, LC3) and NPL_{all} of 2.38 (p=0.0076, LC3), respectively.

5.3.3. Sequence analyses of the OXTR gene

The most promising shared locus for the AGRE and Finnish families was observed on 3p24-26, where both AGRE and Finnish samples contributed to the linkage (Figure 8). The 1-NPL-drop support interval on 3p24-26 extends around 14 cM (22-36 cM from ptel) corresponding approximately to 8.2 Mb of genomic sequence. A functional candidate for autism, oxytocin receptor gene (OXTR), is located only 29 kb distally to the best marker D3S3691. We analyzed the entire protein coding sequence and flanking splice sites of this gene in 22 probands with autism (10 from the Finnish sample, 12 from the AGRE sample) by direct sequencing. The probands were selected from the families that produced the highest LOD scores for D3S3691. A total of four sequence variants were identified, but none of these are obvious functional variants (Table 10). All variants excluding 712G>A are present also in healthy controls and are listed in public SNP databases (dbSNP). The 712G>A variant changes a hydrophobic alanine to hydrophilic threonine in the cytosolic side of the protein and it was inherited from the father to both affected siblings in the family. This amino acid is not located within known functional domains and it is not conserved between species suggesting that amino acid substitution at this position may not influence the functional properties of the receptor (Gimpl and Fahrenholz 2001). We also tested association with D3S3691 and the flanking markers D3S4545 and D3S2403 by using Pseudomarker, but found no evidence for association with either of the data sets (data not shown).

Table 10. The *OXTR* variants detected in 22 autistic probands.

Variant number	Exon	Nucleotide change	Amino acid change	Frequency in 44 chromosomes
1	exon 3	171C>T	N57N	34
2	exon 3	652G>A	A218T	6
3	exon 3	690C>T	N230N	11
4	exon 3	712G>A	A238T	1

5.3.4. Discussion of combined data analysis

Study III was initiated to test, whether we could see shared linkage region(s) among Finnish and AGRE family collections. One of the main motivations was also the more detailed analyzes of earlier identified susceptibility loci in the independent study samples. We observed the most promising shared locus for the two samples on 3p24-26 (Figure 8). Also, some other studies with ASDs have provided linkage evidence for the nearby region. Most notably, Shao and colleagues (2002b) reported the second best locus in their genome-wide scan at D3S3680, which is located 7 cM proximal to the best marker in the combined data analysis, and only 1 cM away from the best marker in the Finnish data set. The best locus in study II was observed at D3S2432, which is located some 29 cM proximal to the best marker in the combined sample and 21 cM proximal to the best marker in the Finnish sample.

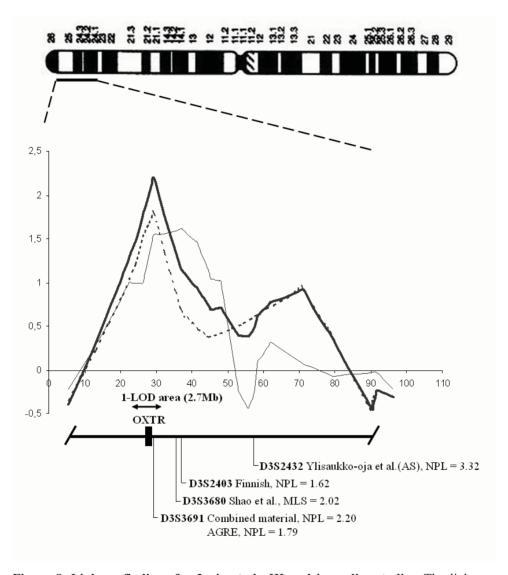


Figure 8. Linkage findings for 3p in study III and in earlier studies. The linkage curves have been indicated as follows: thick solid line = combined sample, thin solid line = Finnish sample, and thin dashed line = AGRE sample.

The 3p24-26 region contains the oxytocin receptor gene (*OXTR*), which is thought to be an excellent functional candidate for autism. In addition to its well-recognized role in a

variety of reproductive-related functions, oxytocin appears to have a role as a neurotransmitter or neuromodulator in the brain. Animal models have provided convincing evidence for its involvement in the basis of social attachment (Ferguson et al. 2000; Insel and Young 2001; Winslow and Insel 2002). In autism, reduced oxytocin plasma levels as well as deficits in oxytocin peptide processing have been proposed (Modahl et al. 1998; Green et al. 2001). There is also some evidence that infusion of synthetic oxytocin would ameliorate repetitive behaviors in adults with autistic symptoms (Hollander et al. 2003a).

As a preliminary analysis of *OXTR* gene, we sequenced the entire protein coding sequence in a sample of 22 autistic probands, but no obvious functional variants were detected. However, a more detailed study involving a dense set of markers is clearly needed in future to define the complete haplotype structure of this locus, and to test the association of *OXTR* variants with autism.

Only modest support for the earlier identified susceptibility loci on 1q21-23, 3q25-27 (study I) or 17q12 (Yonan et al. 2003) was observed in the independent study samples. These results support further the hypothesis of the enrichment of different autism predisposing alleles in the Finnish population due to the founder effect, genetic drift and isolation. Similarly, identification of only suggestive linkage signals in the large sample of 314 families proves once again that autism is genetically a highly heterogeneous disorder. However, this study indicates that the 3p24-26 locus deserves more attention and highlights the *OXTR* as a target for comprehensive molecular genetic studies in future.

5.4 Analysis of neuroligins as candidates for autism (IV)

Neuroligin genes have an essential role in the synaptogenesis and mutations in some of these genes have been shown to be causative for ASDs or MR, as reviewed in 2.3.2. Two out of the five members of the neuroligin gene family, namely *NLGN1* at 3q26 and *NLGN3* at Xq13, are located within best linkage peaks observed in study I, and were

therefore considered as primary candidates for autism in our sample. We also wanted to include *NLGN4* (located at Xp22) and its Y-chromosomal homologue *NLGN4Y* (Yq11) to the analyses based on the reported truncating mutations.

A total of 30 probands ($n_{male} = 26$; $n_{female} = 4$) were selected for the mutation analyses of the coding regions and the splice sites of NLGN1, NLGN3, NLGN4, and NLGN4Y genes. One proband from all the families, where affected individuals shared at least one haplotype IBD on Xq13 and/or 3q25-27, were selected for the analyses. In addition, two probands from the families producing positive LOD scores for 3q25-27 in the AS sample (study II) were included in the mutation analyses. A total of 27 individuals had a diagnosis of autism (LC1) and 3 individuals were diagnosed as having AS (LC2). We identified a total of six variants in the NLGN1: two silent coding sequence variants (K494K [1482G>A] and P818P [2454C>T]), three intronic variants, and one 5' UTR variant. None of these seemed to affect protein structure or were predicted to affect splicing (GeneSplicer Web Interface, the Berkeley Drosophila Genome Project, and the NetGene2 Server). We could not establish any sequence variants in NLGN4 or NLGN4Y and only one rare variant was present in NLGN3. This was a silent Y74Y (222C>T) mutation in the first protein coding exon that was present in one affected male. Two of the variants identified in NLGN1 were common (493-45A>G corresponds to rs3853390 and 1482G>A corresponds to rs7646919 in the dbSNP) and these were included in the SNP genotyping stage. Taken together, no obvious functional mutations were present in any of the genes analyzed. The identified variants have been listed in Table 11.

Table 11. Sequence variants identified in the mutation analysis of the *NLGN1*, *NLGN3*, *NLGN4*, and *NLGN4Y* genes. A total of 30 individuals ($n_{male} = 26$; $n_{female} = 4$) with ASDs were included in the analyses.

Variant	Gene	Mutation type	Nucleotide change	Amino acid	Exon/ Intron	Frequency in the autism sample
1	NLGN1	5'UTR	-24C>T	-	exon 3	1 (1.7 %)
2	NLGN1	intronic	492+67A>G	-	intron 3	1 (1.7 %)
3	NLGN1	intronic	493-45A>G	-	intron 3	11 (18.3 %)
4	NLGN1	silent	1482G>A	K494K	exon 8	7 (11.7 %)
5	NLGN1	intronic	1771-45A>G	-	intron 8	1 (1.7 %)
6	NLGN1	silent	2454C>T	P818P	exon 9	1 (1.7 %)
7	NLGN3	silent	222C>T	Y74Y	exon 2	1 (2.9 %)

Excluding the possibility of coding sequence mutations is not sufficient when candidate genes are evaluated in the complex diseases. Therefore, we employed a dense set of microsatellite and SNP markers to further dissect the role of *NLGN1*, *NLGN3*, and *NLGN4* genes. We genotyped a total of 16 microsatellites and 20 SNPs as follows: 6 microsatellites and 18 SNPs in *NLGN1*, 6 microsatellites and 2 SNPs in *NLGN3*, and 4 microsatellites in *NLGN4* (Figure 9). Intragenic and flanking microsatellites were selected from the Marshfield Medical Research Foundation map and UCSC Human Genome Browser (July 2003 assembly). Since no intragenic markers were available for the *NLGN3* gene, we generated two novel markers entitled ms.NLGN3-3 and ms.NLGN3-4, which were identified by using the Baylor College of Medicine Sequence Launcher's repeat masker algorithm (Smith et al. 1996). The UCSC Human Genome Browser, Celera Discovery System and dbSNP were used for selecting the SNPs for the analyses.

We confirmed the presence of linkage at the NLGN1 and NLGN3 loci, the best markers being D3S2421 (Z_{max}=2.58, LC1, dominant model) and DXS7117 (Z_{max}=2.39, LC2, dominant model), respectively. Also several other marker at these loci resulted in LOD score > 1.5, and thus the linked chromosomal region extends to overlap with NLGN1 and NLGN3. By contrast, none of the four microsatellites analyzed at the NLGN4 locus resulted in a LOD score > 1.5 (Table 12). Multipoint analyses were not performed, because the presence of LD between markers may lead to inflated LOD scores in analyses, which assume linkage equilibrium (Schaid et al. 2004). In association analyses, four out of 36 markers tested showed a trend towards association in a sample of 100 families including a total of 122 patients diagnosed as having autism (LC1). In NLGNI, the best association was observed at rs1488545 (FBAT, p=0.002; PSEUDOMARKER, p=0.041, recessive model). Also a nearby marker rs1352416, which was in LD with rs1488545 (D' = 1.0), showed some trend towards association (FBAT, p=0.004; PSEUDOMARKER, p=0.07). However, the microsatellite D3S1565 located only ~500 bp from rs1488545 did not show any evidence for positive association. Similarly, the analysis of two-marker haplotype constructed from rs1488545 and rs1352416 revealed less evidence for association compared with the evidence obtained for rs1488545 alone (rs1488545-rs1352416, p=0.01). Two of the X-chromosomal markers resulted in a nominal association in the dominant PSEUDOMARKER analysis. These were DXS7132 (p = 0.014) located at the 5' side of NLGN3 and DXS996 (p=0.031) located within NLGN4 (Table 12).

Table 12. The markers, which yielded best linkage or association evidence in *NLGN1*, *NLGN3* and *NLGN4*. Markers with $Z_{max} > 1.5$ or p<0.05 are shown. NS refers to $Z_{max} < 1.0$ in linkage analysis and p>0.05 in association analysis. The abbreviations are as follows: Het = heterozygosity, LC = liability class, Dom = dominant model, Rec = recessive model.

Marker	Het	Linkage Zmax	Model (LC)	FBAT p-value	Pseudomarker p-value
NLGN1:					
D3S1556	0.80	2.00	Dom (2)	NS	NS
rs635255	0.34	1.95	Rec (2)	NS	NS
rs3853390	0.26	1.4	Dom (1)	NS	0.025 (Dom)
rs1352416	0.22	NS		0.004	NS
rs1488545	0.23	NS		0.002	0.041 (Rec)
hCV1196655	0.44	2.16	Rec (2)	NS	NS
D3S2421	0.85	2.58	Dom (1)	NS	NS
NLGN3:					
DXS7132	0.74	1.89	Dom (2)	-	0.014 (Dom)
ms.NLGN3-3	0.58	1.71	Dom (2)	-	NS
DXS7117	0.37	2.39	Dom (2)	-	NS
DXS6800	0.68	1.74	Dom (2)	-	NS
NLGN4:					
DXS996	0.80	NS		-	0.031 (Dom)

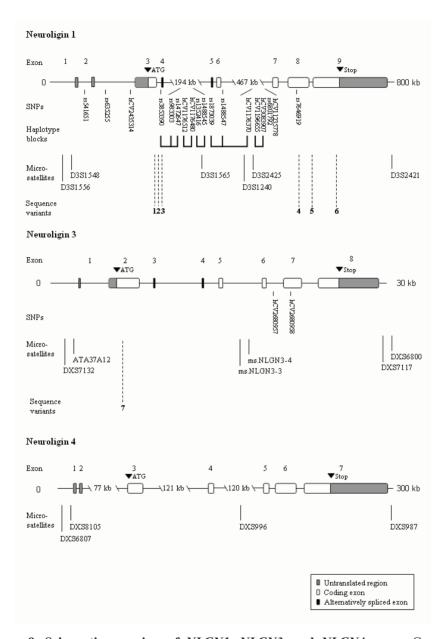


Figure 9. Schematic overview of *NLGN1*, *NLGN3*, **and** *NLGN4* **genes.** Genomic structures of the genes, analyzed SNPs and microsatellites as well as the identified sequence variants are presented. The sequence variant numbers correspond to the numbers in Table 11.

We identified a total of five haplotype blocks in NLGNI by using a solid spine of the LD method (D' > 0.8) of the HaploView - program (Figure 10)(Barrett et al. 2005). Block 1 involved four common haplotypes, Block 3 had two common haplotypes, and the remaining blocks three common haplotypes with a frequency > 5%. We tested haplotype association within these blocks but none of them yielded significant results (p>0.05). Data from 11 out of 18 SNPs analysed on NLGNI locus existed on the HapMap webpage. The LD pattern in the HapMap data (CEPH samples) was highly similar to the LD structure in the current Finnish sample (data not shown).

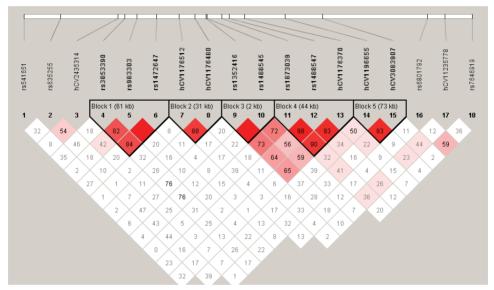


Figure 10. Haplotype block structure of the *NLGN1* gene. Haplotype blocks are defined by using the solid spine of LD method using D' of 0.8 as a threshold. D' values are shown within the boxes.

There are many reasons for the excitement aroused by the identification of neuroligin mutations by Jamain and colleagues (2003) and Laumonnier and colleagues (2004). First, these findings suggest that at least a small fraction of typical cases of autism might be caused by rare mutations with a major functional effect. Second, it is intriguing to notice that similar truncating *NLGN4* mutations seem to result in a wide variety of

phenotypes ranging from autism to the milder AS and to MR without autistic features. Third, neuroligin mutations link the aetiology of autism with mechanisms participating in the synaptogenesis and show that components involved in the synaptogenesis and synaptic structures are excellent functional candidates for future molecular genetic studies of autism and related disorders. Finally, functional analyses of the neuroligin pathway may eventually lead to better a understanding of the pathophysiology of ASDs.

We could not establish any functional mutations in any of the four neuroligin genes analyzed in 30 probands selected from families showing linkage for Xq13 and/or 3q26 loci. This implies that the coding sequence variants do not explain the linkage observed in our data set. Our findings are also consistent with the findings in other recent studies. Two other research groups have analyzed *NLGN3* and *NLGN4* in a total of 292 samples, but no causative mutations were identified (Gauthier et al. 2004; Vincent et al. 2004). In addition, one recent study reported rare missense variants in *NLGN4* but the atiological significance of these variants is not confirmed (Yan et al. 2005). Similarly, the two mutations described in the original report were identified among the sample of 158 autistic patients (Jamain et al. 2003). Thus, neuroligin mutations seem to be real but rare causes for autism. It is important to notice, however, that by the current study design we cannot exclude the existence of rare neuroligin mutations in some autism cases also in the Finnish population.

Although we identified a modest association at some of the markers analyzed, it is probable that they only account for random statistical fluctuation. The best association evidence was obtained for rs1488545 located in the fourth intron of *NLGN1* using the FBAT analysis program. However, none of the nearby markers or haplotype-based association analysis supported this finding. Also, the two X-chromosomal markers yielded only highly suggestive associations. It is not well established how p-values should be corrected for multiple testing when the tested markers are tightly correlated, but it is evident that none of the associations reported here would remain significant if such corrections were performed (Hennah et al. 2004). It is equally clear that more

stringent significance level criteria than the traditional p<0.05 are needed for convincing associations in the presence of multiple testing and low prior probability (Ott 2004). Taken together, our findings do not provide strong evidence for the involvement of any of these genes in the aetiology of autism in the Finnish sample.

5.5 Analysis of *DYX1C1* variants in the Finnish autism families (V)

Developmental dyslexia (MIM 127700) is the most common childhood learning disorder characterized by an unexpected difficulty in learning to read and write despite adequate intelligence, motivation, and education (Shaywitz 1998). Recently, the *DYX1C1* gene (dyslexia susceptibility 1 candidate 1) located at 15q21 has been identified as a putative candidate gene for dyslexia in a Finnish dyslexia sample (Taipale et al. 2003).

It has been suggested that DYX1C1 variants might play a role in the broad spectrum of common childhood neuropsychiatric disorders involving learning and acquisition of specific competences (Grigorenko 2003). Autism is an extreme example of such a disorder, having e.g. severe impairment of speech and language development among its essential features. Therefore, we wanted to test whether the phenotype influenced by DYX1 locus extends to autism by analysing the allelic distribution of the DYX1C1 gene in a sample of 100 Finnish autism families with 122 affected family members. A total of four intragenic SNPs spanning ~68 kb of genomic sequence were analysed including -3G>A located on the 5'UTR (exon 2), rs3743204 in the second intron, 572G>A (G191E) in exon 5, and 1249G>T (E417X) in exon 10. We calculated family-based association for each individual marker as well as for two-, three-, and four-marker haplotypes constructed from the genotypes of the adjacent markers. None of these tests yielded positive associations as shown in Table 13. We also calculated the frequency of a twomarker haplotype of -3A/1249T shown to be positively associated with dyslexia. In the original report, 13% of cases with dyslexia were shown to carry this haplotype versus 5% of the controls, yielding an odds ratio of 2.8 ([CI95%]=1.2-6.5) (Taipale et al. 2003). The -3A/1249T haplotype frequency in the autism cases was 3%, which was equal to the

frequency in the unaffected founder individuals. Consistently with the association data, we did not observe linkage evidence with the *DYX1C1* intragenic SNPs or with the flanking microsatellites genotyped in the study I.

Table 13. Results from the *DYX1C1* **association analyses.** The analyses were performed by the FBAT program using the empirical variance option. Minor allele frequencies for each SNP are shown in parentheses.

Variant	df	χ^2	p-value
Single markers			
-3G>A (0.04)	_	_	_
rs3743204 (0.09)	1	0	1
572G>A (0.42)	1	0.696	0.404
1249G>T (0.07)	1	0.727	0.394
2 marker haplotypes			
-3G>A + rs3743204	2	4.455	0.108
rs3743204 + 572G>A	2	1.167	0.558
572G>A + 1249G>T	2	2.053	0.358
3 marker haplotypes			
-3G>A + rs3743204 + 572G>A	2	1.919	0.383
rs3743204 + 572G>A + 1249G>T	3	1.256	0.74
4 marker haplotype			
-3G>A + rs3743204 +	2	1.059	0.589
572G>A + 1249G>T			

Despite the initial excitement of the results concerning *DYX1C1* as a dyslexia susceptibility gene, the replication attempts have generally been unsuccessful. After completing the present study, at least four replication studies have been published in dyslexia samples, which have failed to demonstrate significant association either with

dyslexia or with quantitative measures of the dyslexic phenotype (Scerri et al. 2004; Cope et al. 2005; Marino et al. 2005). Although some modest association has been reported, they have been for different SNPs or towards the opposite direction compared to original report (Scerri et al. 2004; Wigg et al. 2004). Population specific or diagnostic differences have been suggested to explain these differences (Marino et al. 2005), but it is also true that both the sample size and associations in the original study were quite modest (Taipale et al. 2003). Therefore, an association between *DYX1C1* variants and dyslexia cannot be considered as confirmed. Furthermore, we could not detect any evidence for association in the Finnish autism sample.

6. CONCLUDING REMARKS AND FUTURE PROSPECTS

To be successful, a genetic study needs a substantial contribution from experts in several fields. The foundation of this study has been collecting and characterising of more than 250 Finnish families with ASDs or related traits by a clinical team with a strong expertise of ASDs. Thus far, the clinical part of the study has involved the characterisation of the sample by using mainly conventional diagnostic nomenclatures of ICD-10 and DSM-IV. We are currently developing this area of research further towards more detailed phenotypic characterisation using novel screening instruments, which will enable more specific subgrouping and quantification of the phenotype. One obvious benefit of this study is that the sample is collected from the isolated population of Finland with the high quality national health care system and availability to monitor the health of children throughout the childhood years. This should provide the advantage of a relatively homogeneous genetic background and also ensure improved diagnostic homogeneity. A unique characteristic of the Finnish autism sample is surprisingly extensive relations among the families, which may prove an important feature in the future genetic mapping studies. Such founder effect has clearly provided an advantage of potentially increased IBD-sharing also in the studies presented here.

Sib-pair methodology has been the predominant mapping strategy in the autism studies. In the present study, we used our unique position in Finland to ascertain extensive families and extracted genetic information from entire pedigrees by using parametric methods. This approach should provide reasonably good power in linkage analysis even when a limited number of families are available. This was important because focusing on a small target population necessarily compromises the sample size available for mapping studies. The power of this study design is highlighted especially in study II, where up to 11 affected individuals per family were present.

Several putative susceptibility loci were identified in the present study. Studies I and II provide a solid starting point for the future fine-scale mapping studies, especially at the best loci 3q25-27 (study I) and 3p14-24 (study II). It is worth mentioning here, that some additional support for the best AS locus on 3p14-24 was recently observed in a subsequently collected sample of Finnish AS families (Rehnström et al. in press). Another promising finding was that studies I and II yielded some evidence for shared loci, especially on 1q21-23, but also on some other loci, such as on 3p. Support for the 3p region was observed also in study III, in which both Finnish and US families contributed to linkage for this locus. Although the studies of positional candidates (*NLGN1*, *NLGN3*, and *OXTR*) in studies III and IV did not yield promising results, they have been essential steps towards detailed characterisation of the identified linkage regions. Similarly, exclusions of other candidates, *NLGN4* and *DYX1C1* (studies IV and V), were based on the novel findings in the field of neuropsychiatric genetics and deserved detailed scrutiny in our sample. It is obvious, however, that with the sample size available it was possible to locate only variants with relatively strong effects.

Genetic research of autism has yielded only limited success in the past decade despite the continuing growth of interest and funding for autism research as well as technological and statistical advances in the field of human genetics. The lack of success reflects the enormous difficulty of the problem, which undoubtedly arises from truly heterogeneous background of the autism phenotype. The predominant hypothesis explaining the inheritance of autism is that numerous interacting genetic variants with subtle effects combined with contributions of some environmental risk factors result in the phenotype. This assumption is based on the evidence obtained from the twin and family studies, as reviewed in 2.3.1. Similarly, poor reproducibility in the numerous genome-wide scans performed so far is indicative of a genetically heterogeneous background of the disease (see, 2.3.4). It should be borne in mind, however, that some rare high-penetrance mutations or chromosomal aberrations are known to be causative for autism (reviewed in 2.3.2 and 2.3.3). Thus, the assumption of common predisposing genetic variants with subtle effect is not entirely correct.

Although technological advances and rapid accumulation of biological information provide excellent tools for disease gene mapping, all the efforts will presumably be wasted unless they are combined with intelligent research strategies. If a combination of common variants with minor effect truly predispose to autism, large-scale genome-wide association studies using large samples might prove useful. The mapping of rare mutations with a strong effect requires large pedigrees and extensive mutation screening of the positional candidates. Yet the alternative approach is to focus on population isolates or small sub-isolates, in which single causative variant is enriched in the affected individuals. Furthermore, characterisation and measurement of several trait components, clinical subtypes, or quantitative traits, will most likely result in biologically relevant phenotypes, which might be more readily mapped than the end-phenotype. It is also clear that the latest technological advantages, such as gene expression profiling, arraybased copy number analysis, and high-throughput genotyping technologies, should be fully exploited. Therefore, the strategies to map autism genes should not be based only on one predominant hypothesis or approach, but rather, we should accept our ignorance of the true underlying genetic background and a variety of mapping strategies using different types of samples and methods should be employed.

Despite the difficulties experienced so far, it is certain that genetic studies will eventually be able to characterize factors important for developing autism. This information will pave the way towards identification of novel metabolic pathways underlying autism, and thus provide new insights into the actiopathogenesis of this and related disorders. It is hoped that in more distant future, this type of research will eventually result in development of new diagnostic markers enabling earlier and more specific diagnosis, and provide new starting points for drug development.

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Kirkkonummi, October 20th, 2005

Tero Ylisaukko-oja

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8. ELECTRONIC DATABASE INFORMATION

Autism Genetic Resource Exchange, http://www.agre.org/

Baylor College of Medicine (BCM) Sequence Launcher,

http://searchlauncher.bcm.tmc.edu/

Berkeley Drosophila Genome Project, http://www.fruitfly.org/seq_tools/splice.html

Celera Discovery System, http://www.celeradiscoverysystem.com/

dbSNP, www.ncbi.nlm.nih.gov/SNP

Ensembl Genome Browser, http://www.ensembl.org/

GeneSplicer Web Interface, http://www.tigr.org/tdb/GeneSplicer/gene spl.html

Genetic Power Calculator, http://statgen.iop.kcl.ac.uk/gpc/

Genome Database, http://www.gdb.org

International HapMap Project, http://www.hapmap.org

Marshfield Medical Research Foundation, http://research.marshfieldclinic.org/genetics

Multiplex PCR primer designer, http://apps.bioinfo.helsinki.fi/mpd

NetGene2 Server, http://www.cbs.dtu.dk/services/NetGene2/

Online Mendelian Inheritance in Man, www.ncbi.nlm.nih.gov/Omim

Primer 3, http://www-genome.wi.mit.edu/cgi-bin/primer/primer3 www.cgi

SNPSnapper, http://www.bioinfo.helsinki.fi/SNPSnapper/

UCSC Human Genome Browser, http://genome.ucsc.edu/

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